

## Patient Safety Component—Annual Facility Survey for IRF

Instructions for this form are available at: http://www.cdc.gov/nhsn/forms/instr/TOI-57.151-IRF.pdf

| *required for saving<br>Facility ID:  |  | Tracking #:<br>*Survey Year:                                      |                         |  |
|---|--|---|-------------------------|--|
| -   | completed by Infection Preventionist   |   |                         |  |
| *Ownership (check one):   |  |   |                         |  |
| □ For profit<br>*Affiliation (check one):   | Not for profit, including church   | □ Government  | Veterans                | Affairs                                  |
| □ Hospital System<br>*How would you describe yo   | □ Independent □<br>pur licensed inpatient rehabilitation facili  | Multi-facility organization ty? (check one)                       | (specialty hospita      | Il network)                              |
|   | ar, indicate the following counts for the F  | Healthcare facility based<br>Rehabilitation Facility:             |                         |  |
| sum to the total number of a<br>a. Traumatic spinal con<br>b. Non-traumatic spinal<br>c. Stroke:<br>d. Brain dysfunction (n<br>e. Other neurologic co<br>etc.): | rd dysfunction:<br>al cord dysfunction:<br>on-traumatic or traumatic):<br>nditions (for example, multiple sclerosis<br>as (incl. fracture, joint replacement, othe   | , Parkinson's disease,  | Dilitation categorie    | s ( <u>must</u><br>-<br>-<br>-<br>-<br>- |
| *Total number of admission<br>*Number of admissi<br>*Number of pediatri   |  |   |                         |  |
| Facility Microbiology Lab   | poratory Practices (completed with in  | put from Microbiology   | Laboratory Lead)        |  |
| *1. Does your facility ha<br>bacterial susceptibil  | ave its own on-site laboratory that perfo<br>lity testing?   | rms antimicrobial   | □ Yes                   | □ No                                     |
| institution is collected with a guara disclosed or released without the c   | voluntarily provided information obtained in this so<br>ntee that it will be held in strict confidence, will be<br>consent of the individual, or the institution in accor<br>and 242m(d)). CDC 57.151 (Front). Rev 10, v13.0 | used only for the purposes stat<br>dance with Sections 304, 306 a | ed, and will not otherw | ise be                                   |
| searching existing data sources, g  | ection of information is estimated to average 91 m<br>athering, and maintaining the data needed, and co  | ompleting and reviewing the col                                   | lection of information. | An agency                                |

searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Reports Clearance Officer, 1600 Clifton Rd., MS H21-8, Atlanta, GA 30333, ATTN: PRA (0920-0666)

| NATIONAL HEALTHCARE<br>SAFETY NETWORK  |  |   |   | Form Approved<br>OMB No. 0920-0666<br>Exp. Date: 12/31/27<br>www.cdc.gov/nhsn |
|--|--|---|---|---|
| Facility Microbiology Laboratory Pra   | ctices (continued)                     |   |   |   |
| 1a. If No, where is your facility's  | •                                      | , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | ,   | ,   |
| □ Affiliated medical center  | Commercial referration                 | I laboratory                            | Other local/re<br>reference labora        | gional, non-affiliated<br>atory   |
| 1b. If Yes, do you also send out   | any antimicrobial susce                | ptibility testing                       | (check one)                               | □ Yes □ No  |
| <ul> <li>*2. For <i>Enterobacterales, Pseudome</i> methods are used for:</li> <li>(1) Primary susceptibility testing</li> <li>(2) Secondary, supplemental, or</li> </ul> | ) and                                  |   | <i>baumannii</i> comple:                  | x, indicate which   |
| If your laboratory does not perfo  |  | indicate the m                          | ethods used at the                        | outside laboratory.   |
| Use the testing codes listed below<br>(1) Primary  | (2) Secondary                          |   | Comments                                  |   |
| 1 = Kirby-Bauer disk diffusion   | 4 = ThermoFiscer/Sen                   | <br>sititre                             | 7 = Gradient Diffus<br>Etest, Liofilchem) | sion Strip (e.g.  |
| 2 = bioMérieux/Vitek   | 5 = Beckman Coulter/N                  | MicroScan                               | 8 = Send out test,                        | method not known  |
| 3 = BD Phoenix   | 6 = Selux Diagnostics                  |   | 9 =Other (describe<br>section)            | e in the Comments   |
| <ul><li>*3. Does either the primary or secor<br/>(check all that apply):</li></ul>   | ndary/supplemental antir               | nicrobial susce                         | ptibility testing (AS                     | T) include the following  |
| Drug   | Test                                   | ed                                      | Not Teste                                 | ed  |
| Cefiderocol  |  |   |   |   |
| Ceftazidime-Avibactar  | n 🗆                                    |   |   |   |
| Ceftolozane-Tazobac  | am 🗆                                   |   |   |   |
| Eravacycline   |  |   |   |   |
| Plazomicin   |  |   |   |   |
| Imipenem-Relebactan  | n 🗆                                    |   |   |   |
| Meropenem-Vaborba  | ctam 🗆                                 |   |   |   |
| Aztreonam-Avibactam  |  |   |   |   |
| Sulbactam-Durlobacta   | am 🗆                                   |   |   |   |
| <ul> <li>*4. Has the laboratory implemented</li> <li>a. Third Generation Cephalosp<br/>Enterobacterales in 2010</li> </ul>   |  | -                                       |   | -   |
| b. Carbapenem breakpoints for  | r <i>Enterobacterales</i> <u>in</u> 20 | 10                                      |   | 🗆 Yes 🗆 No  |
| c. Ertapenem breakpoints for E   | Enterobacterales <u>in</u> 2012        | 2                                       |   | 🗆 Yes 🗆 No  |
| d. Carbapenem breakpoints for  |  |   |   | 🗆 Yes 🗆 No  |
|  |  |   |   | Page 2 of 23  |
|  |  |   |   |   |



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| Facility Microbiology Laboratory Practices (continued) |
|--|
|--|

|     | e. Fl            | uroquinolone breakpoints for <i>Ps</i> e   | eudomonas aeruginosa in  | 2019   |                             | □ Yes                             | □ No        |
|-----|------------------|--|--|--|-----------------------------|-----------------------------------|-------------|
|     |                  | uroquinolone breakpoints for <i>En</i> t   |  |  |                             | □ Yes                             | □ No        |
|     |                  | minoglycoside breakpoints for <i>Ei</i>  |  |  |                             | □ Yes                             |             |
|     | •                | minoglycoside breakpoints for Ps   |  | n 2023   |                             | □ Yes                             |             |
|     |                  | peracillin-tazobactam breakpoin  | -  |  | 3                           | □ Yes                             |             |
|     |                  | peracillin-tazobactam breakpoin  |  | -  | -                           | □ Yes                             |             |
|     | j                |  |  |  |                             | 2.00                              |             |
| *5. | not in<br>5a. If | the laboratory test bacterial isola<br>clude automated testing instrume<br>Yes, indicate what is done if cark                                      | ent expert rules)<br>papenemase production is  |  |                             | □ Yes                             | □ No        |
|     |                  | <b>8</b> 1 1   |  | _  |                             |                                   |             |
|     |                  | Report carbapenem MIC resul<br>No changes are made in the ir   | •  |  | s used for enidemic         |                                   | .r          |
|     |                  | infection control practices  |  | ins, ine lest k  |                             | nogical u                         | 1           |
|     | 5b. If           | Yes, which test is routinely perfo   | rmed to detect carbapene   | mase: (check   | all that apply)             |                                   |             |
|     |                  | <ul> <li>Nucleic Acid Amplification</li> <li>Test (PCR, Cepheid, etc.)</li> </ul>  | □ mCIM/CIM   | In NG-Test<br>lateral flow a                           | Carba-5 (or other<br>assay) |                                   |             |
|     |                  | □ Modified Hodge Test  | 🗆 Carba NP   | □ Other  |                             |                                   |             |
|     |                  |  |  | and of a sub-  |                             | ما الم                            | h a malu () |
|     | эс. п            | Yes, which of the following are ro<br><i>Enterobacterales</i> spp.   | □ Pseudomonas aerug  |  | Apenemases: (cned           |                                   |             |
|     |                  | Enterobacterales spp.  |  | 11038  |                             | er baum                           | amm         |
| *6. | resist           | your facility use commercial or la<br>ance markers in bacterial bloodst<br>e FilmArray, Luminex Verigene,<br>Yes<br>No [if checked, skip questions | ream infections? Example<br>etc.   | •  |                             |                                   |             |
|     | 6a. If           | Yes, which test panel(s) does yo   | ur facility use? (check all  | that apply)  |                             |                                   |             |
|     |                  | Accelerate PhenoTest BC<br>Cepheid Xpert MRSA/SA BC  | <ul> <li>BioFire FilmArray B</li> <li>GenMark ePlex BC</li> <li>Luminex Verigene E</li> <li>cositive blood culture (e.g</li> <li>crobial resistance detectio</li> <li>T2Biosystems T2Ca</li> <li>ave Comment)</li> </ul> | CID<br>ID-GP<br>3C-GP<br>., SepsiTyper)<br>n<br>andida | T2Biosystems T2F            | CID-GN<br>BC-GN<br>Resistanc<br>– | ce          |
|     |                  |  |  |  |                             |                                   |             |
|     |                  |  |  |  |                             |                                   |             |



#### Facility Microbiology Laboratory Practices (continued)

- \*7. In a scenario where the *mecA* resistance marker and *Staphylococcus aureus* are detected by rapid molecular testing in a blood specimen, select the procedure(s) your facility conducts. (check one)
  - □ Our laboratory does not perform *mecA* testing using rapid molecular methods. [If checked, skip question 7a.]
  - □ Culture based phenotypic antimicrobial susceptibility testing is not performed. [If checked, skip question 7a.]
  - □ Culture based phenotypic antimicrobial susceptibility testing is performed. A text indicating results of the corresponding rapid molecular testing and/or the interpretation of the rapid molecular testing result is added to the phenotypic test result.
  - □ Culture based phenotypic antimicrobial susceptibility testing is performed. No text indicating corresponding rapid molecular testing and/or interpretation is added.
  - 7a. If both rapid molecular and culture based phenotypic antimicrobial susceptibility testing are performed for a blood specimen to detect drug resistance in *Staphylococcus aureus*, and discordance is found between their results, how are results reported? (check one)
    - □ Further testing is not pursued. Results are reported separately.
    - □ Further testing is not pursued. The phenotypic result is overridden by the rapid molecular test result when an antimicrobial resistance marker is detected.
    - □ Further testing is performed to identify the reason for the discordance. Results are modified based on the further analysis.
- \*8. In a scenario where the *bla<sub>CTX-M</sub>* (CTX-M) resistance marker and *Escherichia coli* are detected by rapid molecular testing in a blood specimen, select the procedure(s) your facility conducts. (check one)
  - □ Our laboratory does not perform *bla<sub>CTX-M</sub>* (CTX-M) testing using rapid molecular methods. [If checked, skip questions 8a]
  - Culture based phenotypic antimicrobial susceptibility testing is not performed. [If checked, skip question 8a.]
  - □ Culture based phenotypic antimicrobial susceptibility testing is performed. A text indicating results of the corresponding rapid molecular testing and/or the interpretation of the rapid molecular testing result is added to the phenotypic test result.
  - □ Culture based phenotypic antimicrobial susceptibility testing is performed. No text indicating corresponding rapid molecular testing and/or interpretation is added.
  - 8a. If both rapid and culture based phenotypic antimicrobial susceptibility testing are performed for a blood specimen to detect drug resistance in *Escherichia coli* and discordance is found between their results, how are results reported? (check one)
    - □ Further testing is not pursued. Results are reported separately.
    - □ Further testing is not pursued. The phenotypic result is overridden by the rapid molecular test result when an antimicrobial resistance marker is detected.
    - □ Further testing is performed to identify the reason for the discordance. Results are modified based on the further analysis.



| Facility Micro             | obiology Laboratory Practices (co   | ontinued)        |   |
|----------------------------|---|------------------|---|
| *9. Where                  | is yeast identification performed for                                       | r specimens c    | ollected at your facility? (check one)  |
|                            | On-site laboratory  |                  |   |
|                            | Affiliated medical center   |                  |   |
|                            | Commercial referral laboratory  |                  |   |
|                            | Other local/regional, non-affiliated  | reference lab    | oratory   |
|                            | Yeast identification not available (<br>affiliate/commercial/other laborato |                  | east identification is not performed onsite or at any<br>I, skip questions 10-14]                                 |
| -                          | ions 10-14 for the laboratory that of the following methods are used f      |                  | ast identification for your facility:<br>ification? (check all that apply)  |
| 🗆 MAI                      | _DI-TOF MS System (Vitek MS)  | □ Micro          | Scan  |
| □ MAI                      | _DI-TOF MS System (Bruker Biotyp  | ,                | automated Manual Kit (for example, API 20C, RapID,<br>ube, PNA-FISH, etc.)  |
| □ Vite                     | k-2   | 🗆 DNA            | sequencing  |
|                            | Phoenix   | Othe             | r (specify):  |
| *11.Does t                 | he laboratory routinely use chromog   | genic agar for   | the identification or differentiation of Candida isolates?  |
| □ Yes                      | □ No  | 🗆 Unkr           | nown  |
| □ Bloc<br>□ Othe<br>□ Urin | er normally sterile body site (for exa                                      | ample, CSF)      | <ul> <li>Respiratory</li> <li>Other (specify):</li> <li>None are fully identified to the species level</li> </ul> |
|                            |   |                  |   |
|                            |   |                  | identify Candida from blood specimens?  |
| □ Yes                      | □ No  | Unkr 🗆 Unkr      |   |
| 13a.                       | T2Candida Panel   | s are used to it | dentify Candida from blood specimens?   |
|                            | BioFire BCID  |                  |   |
|                            | GenMark ePlex BCID  |                  |   |
|                            | Other, specify:   |                  |   |
| □<br>13b.                  | Unknown<br>If yes and you get a positive result                             | t, does this lat | o culture the blood to obtain an isolate?   |
|                            | Yes, always   |                  |   |
|                            | Yes, with clinical order  |                  |   |
|                            | No  |                  |   |
|                            | Unknown   |                  |   |
| *14 \M/here                | is antifundal suscentibility testing (                                      | AFST) perform    | ned for specimens collected at your facility? (check one)   |
| □ On-site                  |   |                  | nal, non-affiliated reference laboratory  |
|                            | •   | -                | le (specifically, AFST is not performed onsite or at any  |
|                            |   |                  | other laboratory) [if selected, skip questions 15 -19]  |



### Facility Microbiology Laboratory Practices (continued)

| Answer questions 15-19 for the<br>*15.What methods are used for<br>apply)    |  |                           |                                      | (check all that        |
|--|--|---------------------------|--------------------------------------|------------------------|
| <ul> <li>Broth microdilution with laborate<br/>developed plates</li> </ul>   | ry □ YeastOne (Thermo S<br>Sensititre™)                      | Scientific™               | □ Gradient diffusi                   | on (E test)            |
| Vitek (bioMerieux)   | Other (specify):   | ·····                     | Unknown                              |                        |
| *16.What methods are used for  | antifungal susceptibility testir                             | ng (AFST) of <b>Ampho</b> | tericin B? (check al                 | l that apply)          |
| <ul> <li>Broth microdilution with<br/>laboratory developed plates</li> </ul> | □ YeastOne (Thern<br>Sensititre™)                            | no Scientific™            | □ Gradient diffusio                  | on (E test)            |
| Vitek (bioMerieux)   | $\Box$ Other (specify): _                                    |                           | Unknown                              |                        |
| *17.AFST is performed for which  | n of the following antifungal d                              | rugs? (check all that     | apply)                               |                        |
| □ Fluconazole  | □ Voriconazol  | •                         | □ Itraconazole                       |                        |
| Posaconazole   | Micafungin   |                           | Anidulafungin                        |                        |
| Caspofungin  | □ Amphoterici  | n B                       | □ Flucytosine                        |                        |
| □ Other, specify:  | □ Unknown  |                           |                                      |                        |
| *18.AFST is performed on funga   | al isolates in which of the follo<br>Performed automatically | Performed with a          | eck only one box pe<br>Not performed | r row)<br>Unknown      |
|  |  | clinician's order         | ·                                    |                        |
| Blood  |  |                           |                                      |                        |
| Other normally sterile body site (for example, CSF)                          |  |                           |                                      |                        |
| Urine  |  |                           |                                      |                        |
| Respiratory  |  |                           |                                      |                        |
| Other (specify):   |  |                           |                                      |                        |
| *19.Is this laboratory developing tested in this laboratory?                 | antibiograms or other report                                 | s to track susceptibil    | ity trends for <i>Candid</i>         | <i>a</i> spp. isolates |
|  | No 🗆 Unkn  | own                       |                                      |                        |
| <ul><li>Enzyme immunoas</li><li>Cell cytotoxicity neu</li></ul>              | y's testing is performed? (che<br>say (EIA) for toxin        | eck one)                  | ility's laboratory or th             | ne outside             |

- □ NAAT plus EIA, if NAAT positive (2-step algorithm)
- □ Glutamate dehydrogenase (GDH) antigen plus EIA for toxin (2-step algorithm)
- □ GDH plus NAAT (2-step algorithm)
- □ GDH plus EIA for toxin, followed by NAAT for discrepant results
- □ Toxigenic culture (*C. difficile* culture followed by detection of toxins)
- Other (specify): \_\_\_\_\_



#### Facility Microbiology Laboratory Practices (continued)

- \*21.Which of the following methods serve as the primary method used for bacterial identification at your facility? (check one)
  - □ MALDI-TOF MS System (Vitek MS)
  - □ MALDI-TOF MS System (Bruker Biotyper)
  - □ Automated Instrument (for example, Vitek, MicroScan, Phoenix, etc.)
  - □ Non-automated Manual Kit (for example, API 20C, biochemicals)
  - □ Rapid Identification (for example, NAAT/PCR, Gene Xpert, etc.)16S rRNA Sequencing
  - □ Other (specify): \_\_\_
  - □ None
- \*22. Which of the following methods serve as the secondary or backup method used for bacterial identification at your facility? (for example, a secondary method if the primary method fails to give an identification, or if the primary method is unavailable). (check one)
  - □ MALDI-TOF MS System (Vitek MS)
  - □ MALDI-TOF MS System (Bruker Biotyper)
  - □ Automated Instrument (for example, Vitek, MicroScan, Phoenix, etc.)
  - □ Non-automated Manual Kit (for example, API 20C, biochemicals)
  - □ Rapid Identification (for example, NAAT/PCR, Gene Xpert, etc.)
  - □ 16S rRNA Sequencing
  - □ Other (specify): \_\_\_\_
  - $\Box$  None

# Infection Control Practices (completed with input from Hospital Epidemiologist and/or Quality Improvement Coordinator)

\*23.Number or fraction of infection preventions (IPs) in facility:

- a. Total hours per week performing surveillance:
- b. Total hours per week for infection control activities other than surveillance:
- \*24.Is it a policy in your facility that patients infected or colonized with MRSA are routinely placed in contact precautions while these patients are in your facility? (check one)
  - □ Yes
  - □ No
  - □ Not applicable: my facility never admits these patients
  - 24a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):
    - □ All infected and all colonized patients
    - □ Only all infected patients
    - □ Only infected or colonized patients with certain characteristics (check all that apply)
      - Patients admitted to high risk settings
      - $\hfill\square$  Patients at high risk for transmission



#### **Infection Control Practices (continued)**

- \*25.Is it a policy in your facility that patients infected or colonized with VRE are routinely placed in contact precautions while these patients are in your facility? (check one)
  - Yes
  - □ No
  - □ Not applicable: my facility never admits these patients
  - 25a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):
    - □ All infected and all colonized patients
    - Only all infected patients
    - □ Only infected or colonized patients with certain characteristics (check all that apply)
      - Patients admitted to high risk settings
      - $\hfill\square$  Patients at high risk for transmission
- \*26.Is it a policy in your facility that patients infected or colonized with CRE (regardless of confirmatory testing for carbapenemase production) are routinely placed in contact precautions while these patients are in your facility? (check one)
  - □ Yes
  - □ No
  - □ Not applicable: my facility never admits these patients
  - 26a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):
    - $\hfill\square$  All infected and all colonized patients
    - □ Only all infected patients
    - □ Only infected or colonized patients with certain characteristics (check all that apply)
      - Patients admitted to high risk settings
      - □ Patients at high risk for transmission
- \*27.Is it a policy in your facility that patients infected or colonized with suspected or confirmed ESBL-producing or extended spectrum cephalosporin resistant *Enterobacterales* are routinely placed in contact precautions while these patients are in your facility? (check one)
  - □ Yes
  - 🗆 No
  - □ Not applicable: my facility never admits these patients
  - 27a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):
    - □ All infected and all colonized patients
    - □ Only all infected patients
    - □ Only infected or colonized patients with certain characteristics (check all that apply)
      - Patients admitted to high risk settings
      - $\hfill\square$  Patients at high risk for transmission



#### Infection Control Practices (continued)

\*28.Does your facility routinely perform screening testing (culture or non-culture) for CRE? This includes screening for patients at your facility performed by public health laboratories and commercial laboratories.

□ Yes □ No

- 28a. If Yes, in which situations does the facility routinely perform screening testing for CRE? (check all that apply)
  - □ Surveillance testing at admission for all patients
  - □ Surveillance testing of epidemiologically-linked patients of newly identified CRE patients (for example, roommates)
  - □ Surveillance testing at admission of high-risk patients (for example, admitted from LTAC or LTCF)
  - □ Surveillance testing at admission of patients admitted to high-risk setting (for example, ICU)
  - □ Surveillance testing of all patients in the facility or in a specific high-risk settings (for example, ICU) at prespecified intervals (for example, weekly point prevalence survey)
  - Other (specify): \_\_\_\_\_
- 28b. If Yes, what method is routinely used by the lab conducting CRE testing of screening swabs form your facility? (check all that apply)
  - □ Culture-based methods
  - $\Box$  PCR
  - □ Other (specify): \_\_\_\_\_
- \*29.Does the facility routinely perform screening testing (culture or non-culture) for *Candida auris? This includes* screening for patients at your facility performed by public health laboratories and commercial laboratories.

 $\Box$  Yes  $\Box$  No

- 29a. If Yes, in which situations does the facility routinely perform screening testing for *Candida auris*? (check all that apply)
  - □ Surveillance testing at admission for all patients
  - □ Surveillance testing of epidemiologically-linked patients of newly identified *Candida auris* patients (for example, point prevalence surveys in response to a case, patients in the same room or unit as a case)
  - □ Surveillance testing at admission of high-risk patients (check all that apply)
    - □ Patients admitted from long-term acute care (LTAC) or long-term care facility (LTCF)
    - □ Patients with recent (for example, within 6 months) overnight hospital stay outside the United States
    - □ Patients admitted to high-risk settings (for example, ICU)
    - □ Other (specify): \_
  - □ Surveillance testing of all patients in the facility or in a specific high-risk settings (for example, ICU) at prespecified intervals (for example, weekly point prevalence survey)
  - □ Other (specify):
- 29b. If Yes, what method is routinely used by the lab conducting *Candida auris* testing of screening swabs from your facility?
  - □ Culture-based methods

  - Other (specify): \_\_\_\_\_



#### **Infection Control Practices (continued)**

| *30. Does t  | he facility routinely perform screening testing (culture or non-culture) for MRSA for any patients admitted?   |
|--------------|--|
|              | □ Yes □ No   |
| 30a.<br>api  | If Yes, in which situations does the facility routinely perform screening testing for MRSA? (check all that<br>bly)  |
|              | Surveillance testing at admission for all patients   |
|              | Surveillance testing at admission of high-risk patients (for example, admitted from long-term acute care [LTAC] or long-term care facility [LTCF], or dialysis patients)   |
|              | Surveillance testing at admission of patients admitted to high-risk setting (for example, ICU)   |
|              | Surveillance testing of pre-operative patients to prevent surgical site infections   |
|              | Other (specify):   |
| •            | our facility have a policy to routinely use chlorhexidine bathing for any adult patients to prevent infection or ission of MDROs at your facility?   |
|              | □ Yes □ No   |
| staphyl      | ne facility have a policy to routinely use a combination of topical chlorhexidine <u>AND</u> an intranasal anti-<br>ococcal agent (mupirocin, iodophor, or an alcohol based intranasal agent) for any adult patients to prevent<br>eare-associated infections or reduce transmission of resistant pathogens?   |
|              | □ Yes □ No   |
|              | ewardship Practices  |
| (completed w | vith input from Physician and Pharmacist Stewardship Leaders)  |
|              | vith input from Physician and Pharmacist Stewardship Leaders)<br>leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply)  |
|              |  |
| *33.Facility | leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply)<br>Providing stewardship program leader(s) dedicated time to manage the program and conduct daily   |
| *33.Facility | leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply)<br>Providing stewardship program leader(s) dedicated time to manage the program and conduct daily<br>stewardship interventions.<br>Allocating resources (for example, IT support, training for stewardship team) to support antibiotic  |
| *33.Facility | <ul> <li>leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply)</li> <li>Providing stewardship program leader(s) dedicated time to manage the program and conduct daily stewardship interventions.</li> <li>Allocating resources (for example, IT support, training for stewardship team) to support antibiotic stewardship efforts.</li> <li>Having a senior executive that serves as a point of contact or "champion" to help ensure the program has</li> </ul>  |
| *33.Facility | leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply)<br>Providing stewardship program leader(s) dedicated time to manage the program and conduct daily<br>stewardship interventions.<br>Allocating resources (for example, IT support, training for stewardship team) to support antibiotic<br>stewardship efforts.<br>Having a senior executive that serves as a point of contact or "champion" to help ensure the program has<br>resources and support to accomplish its mission.<br>Presenting information on stewardship activities and outcomes to facility leadership and/or board at least  |
| *33.Facility | leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply)<br>Providing stewardship program leader(s) dedicated time to manage the program and conduct daily<br>stewardship interventions.<br>Allocating resources (for example, IT support, training for stewardship team) to support antibiotic<br>stewardship efforts.<br>Having a senior executive that serves as a point of contact or "champion" to help ensure the program has<br>resources and support to accomplish its mission.<br>Presenting information on stewardship activities and outcomes to facility leadership and/or board at least<br>annually.<br>Ensuring the stewardship program has an opportunity to discuss resource needs with facility leadership   |
| *33.Facility | <ul> <li>leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply)</li> <li>Providing stewardship program leader(s) dedicated time to manage the program and conduct daily stewardship interventions.</li> <li>Allocating resources (for example, IT support, training for stewardship team) to support antibiotic stewardship efforts.</li> <li>Having a senior executive that serves as a point of contact or "champion" to help ensure the program has resources and support to accomplish its mission.</li> <li>Presenting information on stewardship activities and outcomes to facility leadership and/or board at least annually.</li> <li>Ensuring the stewardship program has an opportunity to discuss resource needs with facility leadership and/or board at least annually.</li> </ul> |

- □ Ensuring that staff from key support departments and groups (for example, IT and hospital medicine) are contributing to stewardship activities.
- □ None of the above

\*34. Our facility has a leader or co-leaders responsible for antibiotic stewardship program management and outcomes.

□ Yes □ No

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#### Antibiotic Stewardship Practices (continued)

- Physician
- Pharmacist
- □ Co-led by both Pharmacist and Physician
- □ Other (for example, RN, PA, NP, etc.; specify):

34b. If Physician or Co-led is selected, which of the following describes your antibiotic stewardship **physician** leader? (check all that apply)

- □ Has antibiotic stewardship responsibilities in their contract, job description or performance review
- □ Is physically on-site in your facility (either part-time or full-time)
- □ Completed an ID fellowship
- □ Completed a certificate program on antibiotic stewardship
- □ Completed other training(s) (for example, conferences or online modules) on antibiotic stewardship
- $\hfill\square$  None of the above
- 34c. If 'Has antibiotic stewardship responsibilities in their contract or job description' is selected (for physician (co) leader): What percent time of antibiotic stewardship activities is specified in the **physician** (co) leader's **contract or job description**? (check one)

| □ 1-10%  | □ 11-25%  | □ 26-50%        |
|----------|-----------|-----------------|
| □ 51-75% | □ 76-100% | □ Not specified |

34d. If Physician or Co-led is selected: **In an average week**, what percentage of time does the **physician** (co) leader **spend** on antibiotic stewardship activities in your facility? (check one)

| □ 1-10%  | □ 11-25%  | □ 26-50% |
|----------|-----------|----------|
| □ 51-75% | □ 76-100% |          |

- 34e. If Pharmacist or Co-led is selected, which of the following describes your antibiotic stewardship **pharmacist** leader? (check all that apply)
  - □ Has antibiotic stewardship responsibilities in their contract, job description or performance review
  - □ Is physically on-site in your facility (either part-time or full-time)
  - □ Completed a PGY2 ID residency and/or ID fellowship
  - □ Completed a certificate program on antibiotic stewardship
  - Completed other training(s) (for example, conferences or online modules) on antibiotic stewardship
  - $\hfill\square$  None of the above
- 34f. If 'Has antibiotic stewardship responsibilities in their contract or job description' is selected (for pharmacist (co) leader): What percent time for antibiotic stewardship activities is specified in the **pharmacist** (co) leader's **contract or job description**? (check one)

| □ 1-10%  | □ 11-25%  | □ 26-50% |
|----------|-----------|----------|
| □ 51-75% | □ 76-100% |          |

<sup>34</sup>a. If Yes, what is the position of this leader? (check one)



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| 34g.   |   |   | erage week, what percen  | -  | pharma   |
|--|---|---|--|--|--|
| (co  | , .   |   | ies in your facility? (check   | one)   |  |
|  | □ 1-10%   | □ 11-25%  | □ 26-50%   |  |  |
|  | □ 51-75%  | □ 76-100%   |  |  |  |
| 34h.<br>po   |   | er is selected: Does your or tor the non-physician  | facility have a designated<br>leader?  | physician who can se   | erve as a  |
|  |   |   |  | □ Yes  |  |
|  | •   |   | ne program, is there at leas   | st one pharmacist res  | ponsible   |
| im   | proving antibiotic use a  | at your facility?   |  |  |  |
|  |   |   |  | □ Yes  | □ N  |
| 35.Our fa  | cility has the following p  | priority antibiotic steward   | ship interventions: (check   | all that apply)  |  |
| □ Prospe   | ective audit and feedbac  | ck for specific antibiotic a  | gents  |  |  |
| 35a.   | If Prospective audit a  | nd feedback is selected:  | Our antibiotic stewardship   | program monitors pr  | ospective  |
|  |   | entions (for example, by  | tracking antibiotic use, typ   | pes of interventions, a  | cceptanc   |
| ree  | commendations).   |   |  |  |  |
|  |   |   |  | □ Yes  | □ N  |
|  | horization for specific a   | -   |  |  |  |
| 35b.   | If Preauthorization is  | selected: Our antibiotic s  | tewardship program moni  | tors preauthorization  | interventi   |
|  |   |   |  |  |  |
| (fc  | or example, by tracking   | which agents are reques   | ted for which conditions).   |  |  |
| ·  |   |   |  | □ Yes  | D N  |
| □ Facility   | -specific treatment reco  | ommendations, based on  | national guidelines and lo   | ocal pathogen suscep   | tibilities, t  |
| □ Facility<br>assist wit   | -specific treatment reco<br>h antibiotic selection for  | ommendations, based on<br>r common clinical condition   |  | ocal pathogen suscep   | tibilities, f  |
| □ Facility<br>assist wit   | -specific treatment reco  | ommendations, based on<br>r common clinical condition   | national guidelines and lo   | ocal pathogen suscep   | tibilities, t  |
| □ Facility<br>assist wit   | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss  | ommendations, based on<br>r common clinical conditions<br>ue infection).  | national guidelines and lo   | ocal pathogen suscep<br>nity-acquired pneumo   | tibilities, t<br>nia, urina                              |
| □ Facility<br>assist with<br>tract infec   | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea   | ommendations, based on<br>r common clinical conditions<br>ue infection).  | national guidelines and lo<br>ons (for example, commur   | ocal pathogen suscep<br>nity-acquired pneumo   | tibilities, t<br>nia, urina                              |
| <ul> <li>Facility<br/>assist with<br/>tract infect</li> <li>35c.</li> </ul>                  | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired   | ommendations, based on<br>r common clinical conditions<br>sue infection).<br>atment recommendations<br>pneumonia,   | national guidelines and lo<br>ons (for example, commur   | ocal pathogen suscep<br>nity-acquired pneumo   | tibilities, t<br>nia, urina                              |
| □ Facility<br>assist with<br>tract infec<br>35c.<br>□  | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea   | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,  | national guidelines and lo<br>ons (for example, commur   | ocal pathogen suscep<br>nity-acquired pneumo   | tibilities, <sup>:</sup><br>nia, urina                   |
| □ Facility<br>assist with<br>tract infec<br>35c.<br>□<br>□                                   | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection  | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,  | national guidelines and lo<br>ons (for example, commur   | ocal pathogen suscep<br>nity-acquired pneumo   | tibilities, †<br>nia, urina                              |
| □ Facility<br>assist with<br>tract infec<br>35c.<br>□<br>□<br>□                              | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection<br>Skin and soft tissue in<br>None of the above  | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,<br>n   | national guidelines and lo<br>ons (for example, commur<br>is selected: For which con   | ocal pathogen suscep<br>nity-acquired pneumo<br>mmon clinical conditio   | tibilities, t<br>nia, urina<br>ons?                      |
| □ Facility<br>assist with<br>tract infec<br>35c.<br>□<br>□<br>□<br>□<br>35d.                 | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection<br>Skin and soft tissue in<br>None of the above<br>If Facility-specific trea   | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,<br>nfection  | national guidelines and lo<br>ons (for example, commur<br>is selected: For which con   | ocal pathogen suscep<br>nity-acquired pneumo<br>mmon clinical conditio   | tibilities, t<br>nia, urina<br>ons?<br>s adherer         |
| □ Facility<br>assist with<br>tract infec<br>35c.<br>□<br>□<br>□<br>35d.<br>to                | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection<br>Skin and soft tissue in<br>None of the above<br>If Facility-specific trea<br>our facility's treatment   | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,<br>nfection<br>atment recommendations<br>recommendations for an  | national guidelines and lo<br>ons (for example, commur<br>is selected: For which con   | ocal pathogen suscep<br>hity-acquired pneumo<br>mmon clinical condition<br>ship program monitors<br>on clinical conditions                           | tibilities, t<br>nia, urina<br>ons?<br>s adherer         |
| □ Facility<br>assist with<br>tract infec<br>35c.<br>□<br>□<br>□<br>35d.<br>to                | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection<br>Skin and soft tissue in<br>None of the above<br>If Facility-specific trea<br>our facility's treatment   | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,<br>nfection<br>atment recommendations<br>recommendations for an  | national guidelines and lo<br>ons (for example, commur<br>is selected: For which con<br>is selected: Our stewards<br>ibiotic selection for commo | ocal pathogen suscep<br>hity-acquired pneumo<br>mmon clinical condition<br>ship program monitors<br>on clinical conditions                           | tibilities, f<br>nia, urina<br>ons?<br>s adherer<br>(for |
| □ Facility<br>assist with<br>tract infec<br>35c.<br>□<br>□<br>□<br>35d.<br>to                | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection<br>Skin and soft tissue in<br>None of the above<br>If Facility-specific trea<br>our facility's treatment<br>cample, community-acq  | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,<br>nfection<br>atment recommendations<br>recommendations for an  | national guidelines and lo<br>ons (for example, commur<br>is selected: For which con<br>is selected: Our stewards<br>ibiotic selection for commo | ocal pathogen suscep<br>nity-acquired pneumo<br>mmon clinical condition<br>ship program monitors<br>on clinical conditions<br>oft tissue infection). | tibilities, t<br>nia, urina<br>ons?<br>s adherer         |
| □ Facility<br>assist with<br>tract infec<br>35c.<br>□<br>□<br>□<br>35d.<br>to<br>ex          | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection<br>Skin and soft tissue in<br>None of the above<br>If Facility-specific trea<br>our facility's treatment<br>cample, community-acq  | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,<br>nfection<br>atment recommendations<br>recommendations for and<br>uired pneumonia, urinary                         | national guidelines and lo<br>ons (for example, commur<br>is selected: For which con<br>is selected: Our stewards<br>ibiotic selection for commo | ocal pathogen suscep<br>nity-acquired pneumo<br>mmon clinical condition<br>ship program monitors<br>on clinical conditions<br>oft tissue infection). | tibilities, f<br>nia, urina<br>ons?<br>s adherer<br>(for |
| □ Facility<br>assist with<br>tract infect<br>35c.<br>□<br>□<br>35d.<br>to<br>ex<br>35e.      | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection<br>Skin and soft tissue in<br>None of the above<br>If Facility-specific trea<br>our facility's treatment<br>cample, community-acq<br>If Yes: For which com   | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,<br>nfection<br>atment recommendations for and<br>uired pneumonia, urinary<br>nmon clinical conditions?<br>pneumonia, | national guidelines and lo<br>ons (for example, commur<br>is selected: For which con<br>is selected: Our stewards<br>ibiotic selection for commo | ocal pathogen suscep<br>nity-acquired pneumo<br>mmon clinical condition<br>ship program monitors<br>on clinical conditions<br>oft tissue infection). | tibilities, t<br>nia, urina<br>ons?<br>s adherer<br>(for |
| □ Facility<br>assist with<br>tract infect<br>35c.<br>□<br>□<br>35d.<br>to<br>ex<br>35e.<br>□ | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection<br>Skin and soft tissue in<br>None of the above<br>If Facility-specific trea<br>our facility's treatment<br>cample, community-acquired   | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,<br>nfection<br>atment recommendations for and<br>uired pneumonia, urinary<br>nmon clinical conditions?<br>pneumonia, | national guidelines and lo<br>ons (for example, commur<br>is selected: For which con<br>is selected: Our stewards<br>ibiotic selection for commo | ocal pathogen suscep<br>nity-acquired pneumo<br>mmon clinical condition<br>ship program monitors<br>on clinical conditions<br>oft tissue infection). | tibilities, t<br>nia, urina<br>ons?<br>s adherer<br>(for |
| □ Facility<br>assist with<br>tract infect<br>35c.<br>□<br>□<br>35d.<br>to<br>ex<br>35e.<br>□ | -specific treatment reco<br>h antibiotic selection for<br>ctions, skin and soft tiss<br>If Facility-specific trea<br>Community-acquired<br>Urinary tract infection<br>Skin and soft tissue in<br>None of the above<br>If Facility-specific trea<br>our facility's treatment<br>cample, community-acquired<br>If Yes: For which com<br>Community-acquired<br>Urinary tract infection | ommendations, based on<br>r common clinical conditions<br>ue infection).<br>atment recommendations<br>pneumonia,<br>nfection<br>atment recommendations for and<br>uired pneumonia, urinary<br>nmon clinical conditions?<br>pneumonia, | national guidelines and lo<br>ons (for example, commur<br>is selected: For which con<br>is selected: Our stewards<br>ibiotic selection for commo | ocal pathogen suscep<br>nity-acquired pneumo<br>mmon clinical condition<br>ship program monitors<br>on clinical conditions<br>oft tissue infection). | tibilities, t<br>nia, urina<br>ons?<br>s adherer<br>(for |



#### **Antibiotic Stewardship Practices (continued)**

\*36.Our facility has a policy or formal procedure for other interventions to ensure optimal use of antibiotics: (check all that apply)

- □ Early administration of effective antibiotics to optimize the treatment of sepsis
- □ Treatment protocols for *Staphylococcus aureus* bloodstream infection
- □ Stopping unnecessary antibiotic(s) in new cases of *Clostridioides difficile* infection (CDI)
- □ Review of culture-proven invasive (for example, bloodstream) infections
- □ Review of planned outpatient parenteral antibiotic therapy (OPAT)
- □ The treating team to review antibiotics 48-72 hours after initial order (specifically, antibiotic time-out)
- □ Assess and clarify documented penicillin allergy
- □ Using the shortest effective duration of antibiotics at discharge for common clinical conditions (for example, community- acquired pneumonia, urinary tract infections, skin and soft tissue infections)
- $\Box$  None of the above

36a. If 'Using the shortest effective duration of antibiotics at discharge for common clinical conditions' is selected: Our stewardship program monitors adherence in using the shortest effective duration of antibiotics at discharge for common clinical conditions (for example, community-acquired pneumonia, urinary tract infections, skin and soft tissue infections), at least annually.

□ Yes □ No

\*37.Our facility has in place the following specific 'pharmacy-based' interventions: (check all that apply)

- □ Pharmacy-driven changes from intravenous to oral antibiotics without a physician's order (for example, hospital-approved protocol)
- □ Alerts to providers about potentially duplicative antibiotic spectra (for example, multiple antibiotics to treat anaerobes)
- □ Automatic antibiotic stop orders in specific situations (for example, surgical prophylaxis)
- $\hfill\square$  None of the above

\*38.Our stewardship program has engaged bedside nurses in actions to optimize antibiotic use.

□ Yes □ No

- 38a. If Yes is selected: Our facility has in place the following specific 'nursing-based' interventions: (check all that apply)
  - □ Nurses receive training on appropriate criteria for sending urine and/or respiratory cultures.
  - □ Nurses initiate discussions with the treating team on switching from intravenous to oral antibiotics.
  - □ Nurses initiate antibiotic time-out discussions with the treating team.
  - □ Nurses track antibiotic duration of therapy.
  - $\hfill\square$  None of the above

\*39.Our stewardship program monitors: (check all that apply)

- □ Antibiotic resistance patterns (either facility- or region-specific), at least annually
- Clostridioides difficile infections (or C. difficile LabID events), at least annually
- □ Antibiotic use in days of therapy (DOT) per 1000 patient days or day present, at least quarterly
- □ Antibiotic use in defined daily doses (DDD) per 1000 patient days, as least quarterly



#### Antibiotic Stewardship Practices (continued)

- □ Antibiotic expenditures (specifically, purchasing costs), at least quarterly
- □ Antibiotic use in some other way, at least annually (specify):
- $\hfill\square$  None of the above
- \*40.Our stewardship team provides the following antibiotic use reports to prescribers, at least annually: (check all that apply)
  - □ Individual, prescriber-level reports
  - □ Unit- or service-specific reports
  - None of the above
    - 40a. If 'Individual, prescriber-level reports' or 'Unit- or service-specific reports' is selected: Our stewardship program uses these reports to target feedback to prescribers about how they can improve their antibiotic prescribing, at least annually.
- \*41.Our facility distributes an antibiogram to prescribers, at least annually.
- \*42.Information on antibiotic use, antibiotic resistance, and stewardship efforts is reported to hospital staff, at least
- annually.
- \*43.Which of the following groups receive education on optimal prescribing, adverse reactions from antibiotics, an antibiotic resistance (for example, Grand Rounds, in-service training, direct instruction) at least annually? (check all that apply)
  - □ Prescribers
  - □ Nursing staff
  - □ Pharmacists
  - $\hfill\square$  None of the above

\*44. Are patients provided education on important side effects of prescribed antibiotics?

□ No

□ Yes

44a. If 'Yes' is selected: How is education to patients on side effects shared? (check all that apply)

Verbally by physician
 None of the above

- □ Discharge paperwork
- Verbally by nurse
- □ Verbally by pharmacist

## Facility Water Management Program (WMP) (Completed with input from WMP team members.)

\*45. Does your facility have a water management program (WMP) to prevent the growth and transmission of *Legionella* and other opportunistic waterborne pathogens (for example, *Pseudomonas, Acinetobacter, Burkholderia, Stenotrophomonas,* nontuberculous mycobacteria, and fungi)?

```
□ Yes □ No
```

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| Facility Water Management Program (N  | WMP) (continued)  |                           |                            |                           |                      |
|---|---|---------------------------|----------------------------|---------------------------|----------------------|
| 45a. If Yes, who is represented   | on your facility WMP team? (                                      | check all t               | hat apply):                |                           |                      |
| Hospital Epidemiologist/Infe  | ction Preventionist   | Compliance                | e/Safety Offi              | cer                       |                      |
| Hospital Administrator/Lead   | ership 🗆 R  | kisk/Quality              | / Manageme                 | ent Staff                 |                      |
| Facilities Manager/Engineer   | - 🗆 Ir  | nfectious D               | isease Clini               | cian                      |                      |
| □ Maintenance Staff   |   | consultant                |                            |                           |                      |
| Equipment/Chemical Acquis   | sition/Supplier 🛛 L   | aboratory                 | Staff/Leade                | rship                     |                      |
| Environmental Services  | □ C   | ther (spec                | ;ify):                     |                           |                      |
| *46.Has your facility ever conducted ar<br>waterborne pathogens could grow<br>may include a description of buildir<br>treatment systems, processing ste | and spread in the facility wate<br>ng water systems using text or | er system (<br>basic diag | for example<br>ram that ma | , piping infrastr         | ucture)? This        |
| 46a. If Yes, when was the most  | recent assessment conducte  | d? (check                 | one)                       |                           |                      |
| <ul><li>☐ Within the most recent year<br/>(&lt;1 year ago)</li></ul>  | □ Between 1 and 3 years a<br>(≥1 year and <u>&lt;</u> 3 years)    |                           | More than a<br>ears)       | 3 years ago (>3           | 3                    |
| *47.Has your facility ever conducted a<br>modes of transmission, patient sus<br>tool can be accessed at <u>https://ww</u>                               | ceptibility, patient exposure, a                                  | nd/or prog                | ram prepare                | edness? An exa            |                      |
|   |   |                           |                            | □ Yes                     | □ No                 |
| 47a. If Yes, when was the most  | recent assessment conducte  | d? (check                 | one)                       |                           |                      |
| □ Within the most recent year<br>(<1 year ago)  | □ Between 1 and 3 years a<br>(≥1 year and ≤3 years)               | •                         | More than a<br>ears)       | 3 years ago (>3           | 3                    |
| *48.Does your facility regularly monitor  | <sup>r</sup> the following parameters in t                        | he building               | y water syste              | em(s)?                    |                      |
| Disinfectant (such as residual chlorine<br>48a. If Yes, Does your facility h  | e):<br>have a plan for corrective action                          | ons when                  | disinfectant(              | □ Yes<br>s) are not withi | □ No<br>n acceptable |
| limits as determined by the wa  |   |                           |                            | □ Yes                     | □ No                 |
|   |   |                           |                            |                           |                      |
|   |   |                           |                            |                           |                      |
|   |   |                           |                            |                           |                      |
|   |   |                           |                            |                           |                      |



#### Facility Water Management Program (WMP) (continued)

48b. If Yes, where and how frequently does your facility monitor disinfectant(s)? (Check all that apply)

| Location  | Daily | Weekly | Monthly | Quarterly | Annually | Other (specify): | N/A |
|---|-------|--------|---------|-----------|----------|------------------|-----|
| Entry Points  |       |        |         |           |          |                  |     |
| Cold Potable Water Storage<br>Tank(s)   |       |        |         |           |          |                  |     |
| Hot Potable Water Storage<br>Tank(s)  |       |        |         |           |          |                  |     |
| Hot Water Supply  |       |        |         |           |          |                  |     |
| Hot Water Return  |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Cold Potable<br>Building Water System(s) |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Hot Potable Building<br>Water System(s)  |       |        |         |           |          |                  |     |
| Other (specify):  |       |        |         |           |          |                  |     |

Water temperature:

🗆 No

□ Yes

48c. If Yes, does your facility have a plan for corrective actions when water temperatures are not within acceptable limits as determined by the water management program? □ Yes □ No

#### 48d. If Yes, where and how frequently does your facility monitor water temperature? (check all that apply)

| Location  | Daily | Weekly | Monthly | Quarterly | Annually | Other (specify): | N/A |
|---|-------|--------|---------|-----------|----------|------------------|-----|
| Entry Points  |       |        |         |           |          |                  |     |
| Cold Potable Water Storage<br>Tank(s)   |       |        |         |           |          |                  |     |
| Hot Potable Water Storage<br>Tank(s)  |       |        |         |           |          |                  |     |
| Hot Water Supply  |       |        |         |           |          |                  |     |
| Hot Water Return  |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Cold Potable<br>Building Water System(s) |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Hot Potable Building<br>Water System(s)  |       |        |         |           |          |                  |     |
| Other (specify):  |       |        |         |           |          |                  |     |

Water pH:

□ Yes □ No

48e. If Yes, does your facility have a plan for corrective actions when water pH is not within acceptable limits as determined by the water management program? □ Yes □ No

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### Facility Water Management Program (WMP) (continued)

48f. If Yes, where and how frequently does your facility monitor water pH? (check all that apply)

| Location  | Daily | Weekly | Monthly | Quarterly | Annually | Other (specify): | N/A |
|---|-------|--------|---------|-----------|----------|------------------|-----|
|   |       |        |         |           |          |                  |     |
| Entry Points  |       |        |         |           |          |                  |     |
| Cold Potable Water Storage<br>Tank(s)   |       |        |         |           |          |                  |     |
| Hot Potable Water Storage<br>Tank(s)  |       |        |         |           |          |                  |     |
| Hot Water Supply  |       |        |         |           |          |                  |     |
| Hot Water Return  |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Cold Potable<br>Building Water System(s) |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Hot Potable Building<br>Water System(s)  |       |        |         |           |          |                  |     |
| Other (specify):  |       |        |         |           |          |                  |     |

Heterotrophic plate count (HPC) testing:

□ Yes □ No

48g. If Yes, does your facility have a plan for corrective actions when heterotrophic plate counts are not within acceptable limits as determined by the water management program? □ Yes □ No

48h. If Yes, where and how frequently does your facility perform HPC testing? (check all that apply)

| Location  | Daily | Weekly | Monthly | Quarterly | Annually | Other (specify): | N/A |
|---|-------|--------|---------|-----------|----------|------------------|-----|
| Entry Points  |       | _      |         |           |          |                  | _   |
|   |       |        |         |           |          |                  |     |
| Cold Potable Water Storage<br>Tank(s)   |       |        |         |           |          |                  |     |
| Hot Potable Water Storage<br>Tank(s)  |       |        |         |           |          |                  |     |
| Hot Water Supply  |       |        |         |           |          |                  |     |
| Hot Water Return  |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Cold Potable<br>Building Water System(s) |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Hot Potable Building<br>Water System(s)  |       |        |         |           |          |                  |     |
| Other (specify):  |       |        |         |           |          |                  |     |

Specific environmental Legionella testing:

🗆 No

□ Yes

48i. If Yes, does your facility have a plan for corrective actions when environmental tests for *Legionella* are not within acceptable limits as determined by the water management program? □ Yes □ No

### Facility Water Management Program (WMP) (continued)

48j. If Yes, where an how frequently does your facility perform *Legionella* testing? (check all that apply)

| Location  | Daily | Weekly | Monthly | Quarterly | Annually | Other (specify): | N/A |
|---|-------|--------|---------|-----------|----------|------------------|-----|
| Entry Points  |       |        |         |           |          |                  |     |
| Cold Potable Water Storage<br>Tank(s)   |       |        |         |           |          |                  |     |
| Hot Potable Water Storage<br>Tank(s)  |       |        |         |           |          |                  |     |
| Hot Water Supply  |       |        |         |           |          |                  |     |
| Hot Water Return  |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Cold Potable<br>Building Water System(s) |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Hot Potable Building<br>Water System(s)  |       |        |         |           |          |                  |     |
| Other (specify):  |       |        |         |           |          |                  |     |

Specific environmental Pseudomonas testing:

□ Yes □ No

48k. If Yes, does your facility have a plan for corrective actions when environmental tests for *Pseudomonas* are not within acceptable limits as determined by the water management program?

48I. If Yes, where an how frequently does your facility perform *Pseudomonas* testing? (check all that apply)

| Location  | Daily | Weekly | Monthly | Quarterly | Annually | Other (specify): | N/A |
|---|-------|--------|---------|-----------|----------|------------------|-----|
| Entry Points  |       |        |         |           |          |                  |     |
| Cold Potable Water Storage<br>Tank(s)   |       |        |         |           |          |                  |     |
| Hot Potable Water Storage<br>Tank(s)  |       |        |         |           |          |                  |     |
| Hot Water Supply  |       |        |         |           |          |                  |     |
| Hot Water Return  |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Cold Potable<br>Building Water System(s) |       |        |         |           |          |                  |     |
| Representative Locations<br>Throughout Hot Potable Building<br>Water System(s)  |       |        |         |           |          |                  |     |
| Other (specify):  |       |        |         |           |          |                  |     |

\*49.Does your facility water management program address measures to prevent transmission of pathogens from wastewater premise plumbing to patients?

□ Yes

🗆 No

 $\hfill\square$  N/A, my facility does not have a water management program



#### Venous Thromboembolism

- \*50.Our facility uses the following venous thromboembolism (VTE) prevention practices (select all that apply, and select at least one)
  - □ Our facility has a VTE prevention policy.
  - □ Our facility has a multidisciplinary team that addresses VTE prevention.
  - Our facility has a facility-wide VTE prevention protocol that includes VTE and bleeding risk assessments linked to clinical decision support for appropriate VTE prophylaxis options.
    - Our facility has embedded the VTE prevention protocol in admission order sets.

🗆 Yes 🛛 No

- □ Our facility provides VTE prevention education for clinicians annually.
- □ Our facility provides VTE prevention education for patients during their stay at our facility.
- □ Our facility performs audits to determine whether patients are on risk-appropriate VTE prophylaxis and provides clinician feedback for quality improvement.
- Our facility tracks the incidence of VTE that develops during a patient's stay at our facility (VTE not present on admission).
- □ Our facility does not use any of the above VTE prevention practices.

#### **Prevention Practices**

\*51.Our facility utilizes a checklist or bundle for prevention of the following HAIs. (Check all that apply)

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- □ Weekly
- □ Monthly
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other
- □ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

🗆 Yes 🗆 No 🗆 Unknown

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- Weekly
- □ Monthly
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other
- □ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

□ Yes

🗆 No

□ Unknown



- □ CDI LabID Event
  - At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one. □ Weekly
    - □ Monthly
    - □ Quarterly
    - □ Yearly
    - □ PRN
    - □ Other

    - □ Not regularly monitored/measured
  - Is checklist/bundle adherence shared routinely with the clinical team?
    - Yes

□ No

Unknown

Unknown

MRSA Bacteremia LabID Event 

□ Yes

- At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.
  - □ Weekly
  - □ Monthly
  - □ Quarterly
  - □ Yearly
  - □ PRN
  - □ Other
  - □ Not regularly monitored/measured
- Is checklist/bundle adherence shared routinely with the clinical team?
  - □ No
- COLO SSI  $\square$

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- □ Weekly
- □ Monthly
- □ Quarterly
- □ Yearly
- PRN
- □ Other
- □ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

| 🗆 Yes 🛛 No 🔅 Unknow |
|---------------------|
|---------------------|

#### HYST SSI

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- □ Weekly
- □ Monthly
- □ Quarterly
- □ Yearly
- PRN
- □ Other
- Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

□ Yes Unknown

None of the above 



\*52. Did your facility (or any part of your facility) implement a new HAI prevention strategy within the last calendar year? \*The following prevention strategies are examples from HAI prevention guidance documents (for example, 2022 SHEA/IDSA/APIC Practice Recommendations - Compendium of Strategies) and are supported by varying levels of evidence.

|  | Unknown |
|--|---------|
|--|---------|

If yes, check all HAIs that apply.

- □ CLABSI (check all that apply)
  - Documentation of daily assessment for central line necessity
  - Bundling of central line insertion supplies to ensure efficient access to supplies in convenient location for aseptic central line insertion
  - □ Use of chlorhexidine-containing dressings for central lines in patients >2 months of age
  - □ Use of antiseptic-containing caps/covers for central line ports
  - □ Use of antiseptic- or antimicrobial-impregnated central lines
  - Other (specify): \_\_\_\_\_
- □ CAUTI (check all that apply)
  - Documentation of daily assessment for indwelling urinary catheter necessity
  - □ Bundling of indwelling urinary catheter insertion supplies in convenient location to ensure efficient access to supplies for aseptic indwelling urinary catheter insertion
  - □ Implementation of a nurse-driven indwelling urinary catheter removal protocol or implementation of automatic stop orders requiring review of current indications and renewal of order for continuation of an indwelling urinary catheter
  - Process for consideration of bladder management alternatives to indwelling urethral catheterization in selected patients when appropriate
  - □ Incorporation of appropriate indications for urine culturing into electronic medical record system, as part of standardized institutional protocol for diagnostic stewardship
  - Other (specify): \_\_\_\_\_
- □ CDI LabID Event (check all that apply)
  - Use of an EPA-registered (EPA List K) sporicidal disinfectant for environmental cleaning/disinfection or use of additional disinfection of CDI patient rooms with no-touch technologies (for example, UV light disinfection)
  - □ Establish process in collaboration with environmental services to routinely assess adequacy of room cleaning
  - □ Restriction of antibiotics with the highest risk for CDI (for example, fluoroquinolones, carbapenems, 3rd and 4th generation cephalosporins)
  - □ Implementation of laboratory protocol to ensure testing of only appropriate specimens (for example, unformed stool) or a clinical decision support system to help reduce unnecessary *Clostridioides difficile* testing
  - □ Implementation of laboratory alert system to immediately report positive *C. difficile* results to clinical care providers and infection control personnel
  - Other (specify): \_\_\_\_\_



- □ MRSA Bacteremia LabID Event (check all that apply)
  - □ Process for monitoring and validation of compliance of daily CHG bathing in applicable patient populations (for example, adult ICU patients)
  - □ Process for multidisciplinary review of occurrences of hospital-onset MRSA bacteremia (for example, root cause analysis) to assess modifiable risk factors
  - □ Establish process in collaboration with environmental services to routinely assess adequacy of room cleaning
  - □ Implementation of a laboratory-based alert system that immediately notifies clinical care providers and infection control personnel of new MRSA-colonized and/or MRSA-infected patients
  - □ Implementation of universal gowns and gloves upon entry into adult ICU patient rooms, regardless of MRSA status
  - Other (specify): \_\_\_\_\_
- □ COLO SSI (check all that apply)
  - □ Use of combination of parenteral and oral antimicrobial prophylaxis with mechanical bowel prep, unless contraindicated, prior to elective colorectal surgery
  - □ Monitor compliance with antimicrobial prophylaxis guidelines being appropriately provided
  - □ Use of impervious plastic wound protectors for GI surgery
  - □ Implementation of preoperative warming for at least 30 minutes prior to surgery to prevent intraoperative hypothermia
  - □ Use of negative pressure dressings in patients who may benefit
  - □ Use of antiseptic-impregnated sutures
  - □ Other (specify): \_\_\_\_\_
- □ HYST SSI (check all that apply)
  - □ Use antiseptic-containing preoperative vaginal preparatory agents for patients undergoing elective hysterectomy
  - □ Monitor compliance with antimicrobial prophylaxis guidelines being appropriately provided
  - □ Implementation of preoperative warming for at least 30 minutes prior to surgery to prevent intraoperative hypothermia
  - □ Use of negative pressure dressings in patients who may benefit
  - □ Use of antiseptic-impregnated sutures
  - □ Other (specify):
- \*53.Does your facility provide training and/or education on HAI prevention to healthcare personnel as it relates to their role?
  - □ Yes □ No □ Unknown

If yes, check all HAIs that apply.

#### 

At what frequency is training or education is provided? Check all that apply.

- □ Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- Other



- □ CAUTI
  - At what frequency is training or education is provided? Check all that apply.
    - Upon hire
    - □ When new product or processes are implemented
    - □ Quarterly
    - □ Yearly
    - □ PRN
    - □ Other

#### □ CDI LabID Event

At what frequency is training or education is provided? Check all that apply.

- □ Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- $\Box$  Other
- MRSA Bacteremia LabID Event

At what frequency is training or education is provided? Check all that apply.

- □ Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other

□ COLO SSI

At what frequency is training or education is provided? Check all that apply.

- □ Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other

□ HYST SSI

At what frequency is training or education is provided? Check all that apply.

- Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other