

Patient Safety Component—Annual Facility Survey for IRF

Instructions for this form are available at: http://www.cdc.gov/nhsn/forms/instr/TOI-57.151-IRF.pdf

*required for saving Facility ID:		Tracking #: *Survey Year:		
-	completed by Infection Preventionist			
*Ownership (check one):				
□ For profit *Affiliation (check one):	Not for profit, including church	□ Government	Veterans	Affairs
□ Hospital System *How would you describe yo	□ Independent □ pur licensed inpatient rehabilitation facili	Multi-facility organization ty? (check one)	(specialty hospita	Il network)
	ar, indicate the following counts for the F	Healthcare facility based Rehabilitation Facility:		
sum to the total number of a a. Traumatic spinal con b. Non-traumatic spinal c. Stroke: d. Brain dysfunction (n e. Other neurologic co etc.):	rd dysfunction: al cord dysfunction: on-traumatic or traumatic): nditions (for example, multiple sclerosis as (incl. fracture, joint replacement, othe	, Parkinson's disease,	Dilitation categorie	s (<u>must</u> - - - - -
*Total number of admission *Number of admissi *Number of pediatri				
Facility Microbiology Lab	poratory Practices (completed with in	put from Microbiology	Laboratory Lead)	
*1. Does your facility ha bacterial susceptibil	ave its own on-site laboratory that perfo lity testing?	rms antimicrobial	□ Yes	□ No
institution is collected with a guara disclosed or released without the c	voluntarily provided information obtained in this so ntee that it will be held in strict confidence, will be consent of the individual, or the institution in accor and 242m(d)). CDC 57.151 (Front). Rev 10, v13.0	used only for the purposes stat dance with Sections 304, 306 a	ed, and will not otherw	ise be
searching existing data sources, g	ection of information is estimated to average 91 m athering, and maintaining the data needed, and co	ompleting and reviewing the col	lection of information.	An agency

searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Reports Clearance Officer, 1600 Clifton Rd., MS H21-8, Atlanta, GA 30333, ATTN: PRA (0920-0666)

NATIONAL HEALTHCARE SAFETY NETWORK				Form Approved OMB No. 0920-0666 Exp. Date: 12/31/27 www.cdc.gov/nhsn
Facility Microbiology Laboratory Pra	ctices (continued)			
1a. If No, where is your facility's	•	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,	,
□ Affiliated medical center	Commercial referration	I laboratory	Other local/re reference labora	gional, non-affiliated atory
1b. If Yes, do you also send out	any antimicrobial susce	ptibility testing	(check one)	□ Yes □ No
 *2. For <i>Enterobacterales, Pseudome</i> methods are used for: (1) Primary susceptibility testing (2) Secondary, supplemental, or) and		<i>baumannii</i> comple:	x, indicate which
If your laboratory does not perfo		indicate the m	ethods used at the	outside laboratory.
Use the testing codes listed below (1) Primary	(2) Secondary		Comments	
1 = Kirby-Bauer disk diffusion	4 = ThermoFiscer/Sen	 sititre	7 = Gradient Diffus Etest, Liofilchem)	sion Strip (e.g.
2 = bioMérieux/Vitek	5 = Beckman Coulter/N	MicroScan	8 = Send out test,	method not known
3 = BD Phoenix	6 = Selux Diagnostics		9 =Other (describe section)	e in the Comments
*3. Does either the primary or secor (check all that apply):	ndary/supplemental antir	nicrobial susce	ptibility testing (AS	T) include the following
Drug	Test	ed	Not Teste	ed
Cefiderocol				
Ceftazidime-Avibactar	n 🗆			
Ceftolozane-Tazobac	am 🗆			
Eravacycline				
Plazomicin				
Imipenem-Relebactan	n 🗆			
Meropenem-Vaborba	ctam 🗆			
Aztreonam-Avibactam				
Sulbactam-Durlobacta	am 🗆			
 *4. Has the laboratory implemented a. Third Generation Cephalosp Enterobacterales in 2010 		-		-
b. Carbapenem breakpoints for	r <i>Enterobacterales</i> <u>in</u> 20	10		🗆 Yes 🗆 No
c. Ertapenem breakpoints for E	Enterobacterales <u>in</u> 2012	2		🗆 Yes 🗆 No
d. Carbapenem breakpoints for				🗆 Yes 🗆 No
				Page 2 of 23



Form Approved OMB No. 0920-0666 Exp. Date: 12/31/27 www.cdc.gov/nhsn

Facility Microbiology Laboratory Practices (continued)
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	e. Fl	uroquinolone breakpoints for <i>Ps</i> e	eudomonas aeruginosa in	2019		□ Yes	□ No
		uroquinolone breakpoints for <i>En</i> t				□ Yes	□ No
		minoglycoside breakpoints for <i>Ei</i>				□ Yes	
	•	minoglycoside breakpoints for Ps		n 2023		□ Yes	
		peracillin-tazobactam breakpoin	-		3	□ Yes	
		peracillin-tazobactam breakpoin		-	-	□ Yes	
	j					2.00	
*5.	not in 5a. If	the laboratory test bacterial isola clude automated testing instrume Yes, indicate what is done if cark	ent expert rules) papenemase production is			□ Yes	□ No
		8 1 1		_			
		Report carbapenem MIC resul No changes are made in the ir	•		s used for enidemic		.r
		infection control practices		ins, ine lest k		nogical u	1
	5b. If	Yes, which test is routinely perfo	rmed to detect carbapene	mase: (check	all that apply)		
		 Nucleic Acid Amplification Test (PCR, Cepheid, etc.) 	□ mCIM/CIM	In NG-Test lateral flow a	Carba-5 (or other assay)		
		□ Modified Hodge Test	🗆 Carba NP	□ Other			
				and of a sub-		ما الم	h a malu ()
	эс. п	Yes, which of the following are ro <i>Enterobacterales</i> spp.	□ Pseudomonas aerug		Apenemases: (cned		
		Enterobacterales spp.		11038		er baum	amm
*6.	resist	your facility use commercial or la ance markers in bacterial bloodst e FilmArray, Luminex Verigene, Yes No [if checked, skip questions	ream infections? Example etc.	•			
	6a. If	Yes, which test panel(s) does yo	ur facility use? (check all	that apply)			
		Accelerate PhenoTest BC Cepheid Xpert MRSA/SA BC	 BioFire FilmArray B GenMark ePlex BC Luminex Verigene E cositive blood culture (e.g crobial resistance detectio T2Biosystems T2Ca ave Comment) 	CID ID-GP 3C-GP ., SepsiTyper) n andida	T2Biosystems T2F	CID-GN BC-GN Resistanc –	ce



Facility Microbiology Laboratory Practices (continued)

- *7. In a scenario where the *mecA* resistance marker and *Staphylococcus aureus* are detected by rapid molecular testing in a blood specimen, select the procedure(s) your facility conducts. (check one)
 - □ Our laboratory does not perform *mecA* testing using rapid molecular methods. [If checked, skip question 7a.]
 - □ Culture based phenotypic antimicrobial susceptibility testing is not performed. [If checked, skip question 7a.]
 - □ Culture based phenotypic antimicrobial susceptibility testing is performed. A text indicating results of the corresponding rapid molecular testing and/or the interpretation of the rapid molecular testing result is added to the phenotypic test result.
 - □ Culture based phenotypic antimicrobial susceptibility testing is performed. No text indicating corresponding rapid molecular testing and/or interpretation is added.
 - 7a. If both rapid molecular and culture based phenotypic antimicrobial susceptibility testing are performed for a blood specimen to detect drug resistance in *Staphylococcus aureus*, and discordance is found between their results, how are results reported? (check one)
 - □ Further testing is not pursued. Results are reported separately.
 - □ Further testing is not pursued. The phenotypic result is overridden by the rapid molecular test result when an antimicrobial resistance marker is detected.
 - □ Further testing is performed to identify the reason for the discordance. Results are modified based on the further analysis.
- *8. In a scenario where the *bla_{CTX-M}* (CTX-M) resistance marker and *Escherichia coli* are detected by rapid molecular testing in a blood specimen, select the procedure(s) your facility conducts. (check one)
 - □ Our laboratory does not perform *bla_{CTX-M}* (CTX-M) testing using rapid molecular methods. [If checked, skip questions 8a]
 - Culture based phenotypic antimicrobial susceptibility testing is not performed. [If checked, skip question 8a.]
 - □ Culture based phenotypic antimicrobial susceptibility testing is performed. A text indicating results of the corresponding rapid molecular testing and/or the interpretation of the rapid molecular testing result is added to the phenotypic test result.
 - □ Culture based phenotypic antimicrobial susceptibility testing is performed. No text indicating corresponding rapid molecular testing and/or interpretation is added.
 - 8a. If both rapid and culture based phenotypic antimicrobial susceptibility testing are performed for a blood specimen to detect drug resistance in *Escherichia coli* and discordance is found between their results, how are results reported? (check one)
 - □ Further testing is not pursued. Results are reported separately.
 - □ Further testing is not pursued. The phenotypic result is overridden by the rapid molecular test result when an antimicrobial resistance marker is detected.
 - □ Further testing is performed to identify the reason for the discordance. Results are modified based on the further analysis.



Facility Micro	obiology Laboratory Practices (co	ontinued)	
*9. Where	is yeast identification performed for	r specimens c	ollected at your facility? (check one)
	On-site laboratory		
	Affiliated medical center		
	Commercial referral laboratory		
	Other local/regional, non-affiliated	reference lab	oratory
	Yeast identification not available (affiliate/commercial/other laborato		east identification is not performed onsite or at any I, skip questions 10-14]
-	ions 10-14 for the laboratory that of the following methods are used f		ast identification for your facility: ification? (check all that apply)
🗆 MAI	_DI-TOF MS System (Vitek MS)	□ Micro	Scan
□ MAI	_DI-TOF MS System (Bruker Biotyp	,	automated Manual Kit (for example, API 20C, RapID, ube, PNA-FISH, etc.)
□ Vite	k-2	🗆 DNA	sequencing
	Phoenix	Othe	r (specify):
*11.Does t	he laboratory routinely use chromog	genic agar for	the identification or differentiation of Candida isolates?
□ Yes	□ No	🗆 Unkr	nown
□ Bloc □ Othe □ Urin	er normally sterile body site (for exa	ample, CSF)	 Respiratory Other (specify): None are fully identified to the species level
			identify Candida from blood specimens?
□ Yes	□ No	Unkr 🗆 Unkr	
13a.	T2Candida Panel	s are used to it	dentify Candida from blood specimens?
	BioFire BCID		
	GenMark ePlex BCID		
	Other, specify:		
□ 13b.	Unknown If yes and you get a positive result	t, does this lat	o culture the blood to obtain an isolate?
	Yes, always		
	Yes, with clinical order		
	No		
	Unknown		
*14 \M/here	is antifundal suscentibility testing (AFST) perform	ned for specimens collected at your facility? (check one)
□ On-site			nal, non-affiliated reference laboratory
	•	-	le (specifically, AFST is not performed onsite or at any
			other laboratory) [if selected, skip questions 15 -19]



Facility Microbiology Laboratory Practices (continued)

Answer questions 15-19 for the *15.What methods are used for apply)				(check all that
 Broth microdilution with laborate developed plates 	ry □ YeastOne (Thermo S Sensititre™)	Scientific™	□ Gradient diffusi	on (E test)
Vitek (bioMerieux)	Other (specify):	·····	Unknown	
*16.What methods are used for	antifungal susceptibility testir	ng (AFST) of Ampho	tericin B? (check al	l that apply)
 Broth microdilution with laboratory developed plates 	□ YeastOne (Thern Sensititre™)	no Scientific™	□ Gradient diffusio	on (E test)
Vitek (bioMerieux)	\Box Other (specify): _		Unknown	
*17.AFST is performed for which	n of the following antifungal d	rugs? (check all that	apply)	
□ Fluconazole	□ Voriconazol	•	□ Itraconazole	
Posaconazole	Micafungin		Anidulafungin	
Caspofungin	□ Amphoterici	n B	□ Flucytosine	
□ Other, specify:	□ Unknown			
*18.AFST is performed on funga	al isolates in which of the follo Performed automatically	Performed with a	eck only one box pe Not performed	r row) Unknown
		clinician's order	·	
Blood				
Other normally sterile body site (for example, CSF)				
Urine				
Respiratory				
Other (specify):				
*19.Is this laboratory developing tested in this laboratory?	antibiograms or other report	s to track susceptibil	ity trends for <i>Candid</i>	<i>a</i> spp. isolates
	No 🗆 Unkn	own		
Enzyme immunoasCell cytotoxicity neu	y's testing is performed? (che say (EIA) for toxin	eck one)	ility's laboratory or th	ne outside

- □ NAAT plus EIA, if NAAT positive (2-step algorithm)
- □ Glutamate dehydrogenase (GDH) antigen plus EIA for toxin (2-step algorithm)
- □ GDH plus NAAT (2-step algorithm)
- □ GDH plus EIA for toxin, followed by NAAT for discrepant results
- □ Toxigenic culture (*C. difficile* culture followed by detection of toxins)
- Other (specify): _____



Facility Microbiology Laboratory Practices (continued)

- *21.Which of the following methods serve as the primary method used for bacterial identification at your facility? (check one)
 - □ MALDI-TOF MS System (Vitek MS)
 - □ MALDI-TOF MS System (Bruker Biotyper)
 - □ Automated Instrument (for example, Vitek, MicroScan, Phoenix, etc.)
 - □ Non-automated Manual Kit (for example, API 20C, biochemicals)
 - □ Rapid Identification (for example, NAAT/PCR, Gene Xpert, etc.)16S rRNA Sequencing
 - □ Other (specify): ___
 - □ None
- *22. Which of the following methods serve as the secondary or backup method used for bacterial identification at your facility? (for example, a secondary method if the primary method fails to give an identification, or if the primary method is unavailable). (check one)
 - □ MALDI-TOF MS System (Vitek MS)
 - □ MALDI-TOF MS System (Bruker Biotyper)
 - □ Automated Instrument (for example, Vitek, MicroScan, Phoenix, etc.)
 - □ Non-automated Manual Kit (for example, API 20C, biochemicals)
 - □ Rapid Identification (for example, NAAT/PCR, Gene Xpert, etc.)
 - □ 16S rRNA Sequencing
 - □ Other (specify): ____
 - \Box None

Infection Control Practices (completed with input from Hospital Epidemiologist and/or Quality Improvement Coordinator)

*23.Number or fraction of infection preventions (IPs) in facility:

- a. Total hours per week performing surveillance:
- b. Total hours per week for infection control activities other than surveillance:
- *24.Is it a policy in your facility that patients infected or colonized with MRSA are routinely placed in contact precautions while these patients are in your facility? (check one)
 - □ Yes
 - □ No
 - □ Not applicable: my facility never admits these patients
 - 24a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):
 - □ All infected and all colonized patients
 - □ Only all infected patients
 - □ Only infected or colonized patients with certain characteristics (check all that apply)
 - Patients admitted to high risk settings
 - $\hfill\square$ Patients at high risk for transmission



Infection Control Practices (continued)

- *25.Is it a policy in your facility that patients infected or colonized with VRE are routinely placed in contact precautions while these patients are in your facility? (check one)
 - Yes
 - □ No
 - □ Not applicable: my facility never admits these patients
 - 25a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):
 - □ All infected and all colonized patients
 - Only all infected patients
 - □ Only infected or colonized patients with certain characteristics (check all that apply)
 - Patients admitted to high risk settings
 - $\hfill\square$ Patients at high risk for transmission
- *26.Is it a policy in your facility that patients infected or colonized with CRE (regardless of confirmatory testing for carbapenemase production) are routinely placed in contact precautions while these patients are in your facility? (check one)
 - □ Yes
 - □ No
 - □ Not applicable: my facility never admits these patients
 - 26a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):
 - $\hfill\square$ All infected and all colonized patients
 - □ Only all infected patients
 - □ Only infected or colonized patients with certain characteristics (check all that apply)
 - Patients admitted to high risk settings
 - □ Patients at high risk for transmission
- *27.Is it a policy in your facility that patients infected or colonized with suspected or confirmed ESBL-producing or extended spectrum cephalosporin resistant *Enterobacterales* are routinely placed in contact precautions while these patients are in your facility? (check one)
 - □ Yes
 - 🗆 No
 - □ Not applicable: my facility never admits these patients
 - 27a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):
 - □ All infected and all colonized patients
 - □ Only all infected patients
 - □ Only infected or colonized patients with certain characteristics (check all that apply)
 - Patients admitted to high risk settings
 - $\hfill\square$ Patients at high risk for transmission



Infection Control Practices (continued)

*28.Does your facility routinely perform screening testing (culture or non-culture) for CRE? This includes screening for patients at your facility performed by public health laboratories and commercial laboratories.

□ Yes □ No

- 28a. If Yes, in which situations does the facility routinely perform screening testing for CRE? (check all that apply)
 - □ Surveillance testing at admission for all patients
 - □ Surveillance testing of epidemiologically-linked patients of newly identified CRE patients (for example, roommates)
 - □ Surveillance testing at admission of high-risk patients (for example, admitted from LTAC or LTCF)
 - □ Surveillance testing at admission of patients admitted to high-risk setting (for example, ICU)
 - □ Surveillance testing of all patients in the facility or in a specific high-risk settings (for example, ICU) at prespecified intervals (for example, weekly point prevalence survey)
 - Other (specify): _____
- 28b. If Yes, what method is routinely used by the lab conducting CRE testing of screening swabs form your facility? (check all that apply)
 - □ Culture-based methods
 - \Box PCR
 - □ Other (specify): _____
- *29.Does the facility routinely perform screening testing (culture or non-culture) for *Candida auris? This includes* screening for patients at your facility performed by public health laboratories and commercial laboratories.

 \Box Yes \Box No

- 29a. If Yes, in which situations does the facility routinely perform screening testing for *Candida auris*? (check all that apply)
 - □ Surveillance testing at admission for all patients
 - □ Surveillance testing of epidemiologically-linked patients of newly identified *Candida auris* patients (for example, point prevalence surveys in response to a case, patients in the same room or unit as a case)
 - □ Surveillance testing at admission of high-risk patients (check all that apply)
 - □ Patients admitted from long-term acute care (LTAC) or long-term care facility (LTCF)
 - □ Patients with recent (for example, within 6 months) overnight hospital stay outside the United States
 - □ Patients admitted to high-risk settings (for example, ICU)
 - □ Other (specify): _
 - □ Surveillance testing of all patients in the facility or in a specific high-risk settings (for example, ICU) at prespecified intervals (for example, weekly point prevalence survey)
 - □ Other (specify):
- 29b. If Yes, what method is routinely used by the lab conducting *Candida auris* testing of screening swabs from your facility?
 - □ Culture-based methods

 - Other (specify): _____



Infection Control Practices (continued)

*30. Does t	he facility routinely perform screening testing (culture or non-culture) for MRSA for any patients admitted?
	□ Yes □ No
30a. api	If Yes, in which situations does the facility routinely perform screening testing for MRSA? (check all that bly)
	Surveillance testing at admission for all patients
	Surveillance testing at admission of high-risk patients (for example, admitted from long-term acute care [LTAC] or long-term care facility [LTCF], or dialysis patients)
	Surveillance testing at admission of patients admitted to high-risk setting (for example, ICU)
	Surveillance testing of pre-operative patients to prevent surgical site infections
	Other (specify):
•	our facility have a policy to routinely use chlorhexidine bathing for any adult patients to prevent infection or ission of MDROs at your facility?
	□ Yes □ No
staphyl	ne facility have a policy to routinely use a combination of topical chlorhexidine <u>AND</u> an intranasal anti- ococcal agent (mupirocin, iodophor, or an alcohol based intranasal agent) for any adult patients to prevent eare-associated infections or reduce transmission of resistant pathogens?
	□ Yes □ No
	ewardship Practices
(completed w	vith input from Physician and Pharmacist Stewardship Leaders)
	vith input from Physician and Pharmacist Stewardship Leaders) leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply)
*33.Facility	leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply) Providing stewardship program leader(s) dedicated time to manage the program and conduct daily
*33.Facility	leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply) Providing stewardship program leader(s) dedicated time to manage the program and conduct daily stewardship interventions. Allocating resources (for example, IT support, training for stewardship team) to support antibiotic
*33.Facility	 leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply) Providing stewardship program leader(s) dedicated time to manage the program and conduct daily stewardship interventions. Allocating resources (for example, IT support, training for stewardship team) to support antibiotic stewardship efforts. Having a senior executive that serves as a point of contact or "champion" to help ensure the program has
*33.Facility	leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply) Providing stewardship program leader(s) dedicated time to manage the program and conduct daily stewardship interventions. Allocating resources (for example, IT support, training for stewardship team) to support antibiotic stewardship efforts. Having a senior executive that serves as a point of contact or "champion" to help ensure the program has resources and support to accomplish its mission. Presenting information on stewardship activities and outcomes to facility leadership and/or board at least
*33.Facility	leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply) Providing stewardship program leader(s) dedicated time to manage the program and conduct daily stewardship interventions. Allocating resources (for example, IT support, training for stewardship team) to support antibiotic stewardship efforts. Having a senior executive that serves as a point of contact or "champion" to help ensure the program has resources and support to accomplish its mission. Presenting information on stewardship activities and outcomes to facility leadership and/or board at least annually. Ensuring the stewardship program has an opportunity to discuss resource needs with facility leadership
*33.Facility	 leadership has demonstrated commitment to antibiotic stewardship efforts: (check all that apply) Providing stewardship program leader(s) dedicated time to manage the program and conduct daily stewardship interventions. Allocating resources (for example, IT support, training for stewardship team) to support antibiotic stewardship efforts. Having a senior executive that serves as a point of contact or "champion" to help ensure the program has resources and support to accomplish its mission. Presenting information on stewardship activities and outcomes to facility leadership and/or board at least annually. Ensuring the stewardship program has an opportunity to discuss resource needs with facility leadership and/or board at least annually.

- □ Ensuring that staff from key support departments and groups (for example, IT and hospital medicine) are contributing to stewardship activities.
- □ None of the above

*34. Our facility has a leader or co-leaders responsible for antibiotic stewardship program management and outcomes.

□ Yes □ No

Page 10 of 23



Form Approved OMB No. 0920-0666 Exp. Date: 12/31/27 www.cdc.gov/nhsn

Antibiotic Stewardship Practices (continued)

- Physician
- Pharmacist
- □ Co-led by both Pharmacist and Physician
- □ Other (for example, RN, PA, NP, etc.; specify):

34b. If Physician or Co-led is selected, which of the following describes your antibiotic stewardship **physician** leader? (check all that apply)

- □ Has antibiotic stewardship responsibilities in their contract, job description or performance review
- □ Is physically on-site in your facility (either part-time or full-time)
- □ Completed an ID fellowship
- □ Completed a certificate program on antibiotic stewardship
- □ Completed other training(s) (for example, conferences or online modules) on antibiotic stewardship
- $\hfill\square$ None of the above
- 34c. If 'Has antibiotic stewardship responsibilities in their contract or job description' is selected (for physician (co) leader): What percent time of antibiotic stewardship activities is specified in the **physician** (co) leader's **contract or job description**? (check one)

□ 1-10%	□ 11-25%	□ 26-50%
□ 51-75%	□ 76-100%	□ Not specified

34d. If Physician or Co-led is selected: **In an average week**, what percentage of time does the **physician** (co) leader **spend** on antibiotic stewardship activities in your facility? (check one)

□ 1-10%	□ 11-25%	□ 26-50%
□ 51-75%	□ 76-100%	

- 34e. If Pharmacist or Co-led is selected, which of the following describes your antibiotic stewardship **pharmacist** leader? (check all that apply)
 - □ Has antibiotic stewardship responsibilities in their contract, job description or performance review
 - □ Is physically on-site in your facility (either part-time or full-time)
 - □ Completed a PGY2 ID residency and/or ID fellowship
 - □ Completed a certificate program on antibiotic stewardship
 - Completed other training(s) (for example, conferences or online modules) on antibiotic stewardship
 - $\hfill\square$ None of the above
- 34f. If 'Has antibiotic stewardship responsibilities in their contract or job description' is selected (for pharmacist (co) leader): What percent time for antibiotic stewardship activities is specified in the **pharmacist** (co) leader's **contract or job description**? (check one)

□ 1-10%	□ 11-25%	□ 26-50%
□ 51-75%	□ 76-100%	

³⁴a. If Yes, what is the position of this leader? (check one)



Form Approved OMB No. 0920-0666 Exp. Date: 12/31/27 www.cdc.gov/nhsn

34g.			erage week, what percen	-	pharma
(co	, .		ies in your facility? (check	one)	
	□ 1-10%	□ 11-25%	□ 26-50%		
	□ 51-75%	□ 76-100%			
34h. po		er is selected: Does your or tor the non-physician	facility have a designated leader?	physician who can se	erve as a
				□ Yes	
	•		ne program, is there at leas	st one pharmacist res	ponsible
im	proving antibiotic use a	at your facility?			
				□ Yes	□ N
35.Our fa	cility has the following p	priority antibiotic steward	ship interventions: (check	all that apply)	
□ Prospe	ective audit and feedbac	ck for specific antibiotic a	gents		
35a.	If Prospective audit a	nd feedback is selected:	Our antibiotic stewardship	program monitors pr	ospective
		entions (for example, by	tracking antibiotic use, typ	pes of interventions, a	cceptanc
ree	commendations).				
				□ Yes	□ N
	horization for specific a	-			
35b.	If Preauthorization is	selected: Our antibiotic s	tewardship program moni	tors preauthorization	interventi
(fc	or example, by tracking	which agents are reques	ted for which conditions).		
·				□ Yes	D N
□ Facility	-specific treatment reco	ommendations, based on	national guidelines and lo	ocal pathogen suscep	tibilities, t
□ Facility assist wit	-specific treatment reco h antibiotic selection for	ommendations, based on r common clinical condition		ocal pathogen suscep	tibilities, f
□ Facility assist wit	-specific treatment reco	ommendations, based on r common clinical condition	national guidelines and lo	ocal pathogen suscep	tibilities, t
□ Facility assist wit	-specific treatment reco h antibiotic selection for ctions, skin and soft tiss	ommendations, based on r common clinical conditions ue infection).	national guidelines and lo	ocal pathogen suscep nity-acquired pneumo	tibilities, t nia, urina
□ Facility assist with tract infec	-specific treatment reco h antibiotic selection for ctions, skin and soft tiss If Facility-specific trea	ommendations, based on r common clinical conditions ue infection).	national guidelines and lo ons (for example, commur	ocal pathogen suscep nity-acquired pneumo	tibilities, t nia, urina
 Facility assist with tract infect 35c. 	-specific treatment reco h antibiotic selection for ctions, skin and soft tiss If Facility-specific trea Community-acquired	ommendations, based on r common clinical conditions sue infection). atment recommendations pneumonia,	national guidelines and lo ons (for example, commur	ocal pathogen suscep nity-acquired pneumo	tibilities, t nia, urina
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□ Facility assist with tract infect 35c. □ □ 35d. to ex 35e. □	-specific treatment reco h antibiotic selection for ctions, skin and soft tiss If Facility-specific trea Community-acquired Urinary tract infection Skin and soft tissue in None of the above If Facility-specific trea our facility's treatment cample, community-acquired If Yes: For which com Community-acquired Urinary tract infection	ommendations, based on r common clinical conditions ue infection). atment recommendations pneumonia, nfection atment recommendations for and uired pneumonia, urinary nmon clinical conditions? pneumonia,	national guidelines and lo ons (for example, commur is selected: For which con is selected: Our stewards ibiotic selection for commo	ocal pathogen suscep nity-acquired pneumo mmon clinical condition ship program monitors on clinical conditions oft tissue infection).	tibilities, t nia, urina ons? s adherer (for



Antibiotic Stewardship Practices (continued)

*36.Our facility has a policy or formal procedure for other interventions to ensure optimal use of antibiotics: (check all that apply)

- □ Early administration of effective antibiotics to optimize the treatment of sepsis
- □ Treatment protocols for *Staphylococcus aureus* bloodstream infection
- □ Stopping unnecessary antibiotic(s) in new cases of *Clostridioides difficile* infection (CDI)
- □ Review of culture-proven invasive (for example, bloodstream) infections
- □ Review of planned outpatient parenteral antibiotic therapy (OPAT)
- □ The treating team to review antibiotics 48-72 hours after initial order (specifically, antibiotic time-out)
- □ Assess and clarify documented penicillin allergy
- □ Using the shortest effective duration of antibiotics at discharge for common clinical conditions (for example, community- acquired pneumonia, urinary tract infections, skin and soft tissue infections)
- \Box None of the above

36a. If 'Using the shortest effective duration of antibiotics at discharge for common clinical conditions' is selected: Our stewardship program monitors adherence in using the shortest effective duration of antibiotics at discharge for common clinical conditions (for example, community-acquired pneumonia, urinary tract infections, skin and soft tissue infections), at least annually.

□ Yes □ No

*37.Our facility has in place the following specific 'pharmacy-based' interventions: (check all that apply)

- □ Pharmacy-driven changes from intravenous to oral antibiotics without a physician's order (for example, hospital-approved protocol)
- □ Alerts to providers about potentially duplicative antibiotic spectra (for example, multiple antibiotics to treat anaerobes)
- □ Automatic antibiotic stop orders in specific situations (for example, surgical prophylaxis)
- $\hfill\square$ None of the above

*38.Our stewardship program has engaged bedside nurses in actions to optimize antibiotic use.

□ Yes □ No

- 38a. If Yes is selected: Our facility has in place the following specific 'nursing-based' interventions: (check all that apply)
 - □ Nurses receive training on appropriate criteria for sending urine and/or respiratory cultures.
 - □ Nurses initiate discussions with the treating team on switching from intravenous to oral antibiotics.
 - □ Nurses initiate antibiotic time-out discussions with the treating team.
 - □ Nurses track antibiotic duration of therapy.
 - $\hfill\square$ None of the above

*39.Our stewardship program monitors: (check all that apply)

- □ Antibiotic resistance patterns (either facility- or region-specific), at least annually
- Clostridioides difficile infections (or C. difficile LabID events), at least annually
- □ Antibiotic use in days of therapy (DOT) per 1000 patient days or day present, at least quarterly
- □ Antibiotic use in defined daily doses (DDD) per 1000 patient days, as least quarterly



Antibiotic Stewardship Practices (continued)

- □ Antibiotic expenditures (specifically, purchasing costs), at least quarterly
- □ Antibiotic use in some other way, at least annually (specify):
- $\hfill\square$ None of the above
- *40.Our stewardship team provides the following antibiotic use reports to prescribers, at least annually: (check all that apply)
 - □ Individual, prescriber-level reports
 - □ Unit- or service-specific reports
 - None of the above
 - 40a. If 'Individual, prescriber-level reports' or 'Unit- or service-specific reports' is selected: Our stewardship program uses these reports to target feedback to prescribers about how they can improve their antibiotic prescribing, at least annually.
- *41.Our facility distributes an antibiogram to prescribers, at least annually.
- *42.Information on antibiotic use, antibiotic resistance, and stewardship efforts is reported to hospital staff, at least
- annually.
- *43.Which of the following groups receive education on optimal prescribing, adverse reactions from antibiotics, an antibiotic resistance (for example, Grand Rounds, in-service training, direct instruction) at least annually? (check all that apply)
 - □ Prescribers
 - □ Nursing staff
 - □ Pharmacists
 - $\hfill\square$ None of the above

*44. Are patients provided education on important side effects of prescribed antibiotics?

□ No

□ Yes

44a. If 'Yes' is selected: How is education to patients on side effects shared? (check all that apply)

Verbally by physician
 None of the above

- □ Discharge paperwork
- Verbally by nurse
- □ Verbally by pharmacist

Facility Water Management Program (WMP) (Completed with input from WMP team members.)

*45. Does your facility have a water management program (WMP) to prevent the growth and transmission of *Legionella* and other opportunistic waterborne pathogens (for example, *Pseudomonas, Acinetobacter, Burkholderia, Stenotrophomonas,* nontuberculous mycobacteria, and fungi)?

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□ Yes □ No
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Page 14 of 23



Facility Water Management Program (N	WMP) (continued)				
45a. If Yes, who is represented	on your facility WMP team? (check all t	hat apply):		
Hospital Epidemiologist/Infe	ction Preventionist	Compliance	e/Safety Offi	cer	
Hospital Administrator/Lead	ership 🗆 R	kisk/Quality	/ Manageme	ent Staff	
Facilities Manager/Engineer	- 🗆 Ir	nfectious D	isease Clini	cian	
□ Maintenance Staff		consultant			
Equipment/Chemical Acquis	sition/Supplier 🛛 L	aboratory	Staff/Leade	rship	
Environmental Services	□ C	ther (spec	;ify):		
*46.Has your facility ever conducted ar waterborne pathogens could grow may include a description of buildir treatment systems, processing ste	and spread in the facility wate ng water systems using text or	er system (basic diag	for example ram that ma	, piping infrastr	ucture)? This
46a. If Yes, when was the most	recent assessment conducte	d? (check	one)		
☐ Within the most recent year (<1 year ago)	□ Between 1 and 3 years a (≥1 year and <u><</u> 3 years)		More than a ears)	3 years ago (>3	3
*47.Has your facility ever conducted a modes of transmission, patient sus tool can be accessed at <u>https://ww</u>	ceptibility, patient exposure, a	nd/or prog	ram prepare	edness? An exa	
				□ Yes	□ No
47a. If Yes, when was the most	recent assessment conducte	d? (check	one)		
□ Within the most recent year (<1 year ago)	□ Between 1 and 3 years a (≥1 year and ≤3 years)	•	More than a ears)	3 years ago (>3	3
*48.Does your facility regularly monitor	^r the following parameters in t	he building	y water syste	em(s)?	
Disinfectant (such as residual chlorine 48a. If Yes, Does your facility h	e): have a plan for corrective action	ons when	disinfectant(□ Yes s) are not withi	□ No n acceptable
limits as determined by the wa				□ Yes	□ No



Facility Water Management Program (WMP) (continued)

48b. If Yes, where and how frequently does your facility monitor disinfectant(s)? (Check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify):	N/A
Entry Points							
Cold Potable Water Storage Tank(s)							
Hot Potable Water Storage Tank(s)							
Hot Water Supply							
Hot Water Return							
Representative Locations Throughout Cold Potable Building Water System(s)							
Representative Locations Throughout Hot Potable Building Water System(s)							
Other (specify):							

Water temperature:

🗆 No

□ Yes

48c. If Yes, does your facility have a plan for corrective actions when water temperatures are not within acceptable limits as determined by the water management program? □ Yes □ No

48d. If Yes, where and how frequently does your facility monitor water temperature? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify):	N/A
Entry Points							
Cold Potable Water Storage Tank(s)							
Hot Potable Water Storage Tank(s)							
Hot Water Supply							
Hot Water Return							
Representative Locations Throughout Cold Potable Building Water System(s)							
Representative Locations Throughout Hot Potable Building Water System(s)							
Other (specify):							

Water pH:

□ Yes □ No

48e. If Yes, does your facility have a plan for corrective actions when water pH is not within acceptable limits as determined by the water management program? □ Yes □ No

Page 16 of 23

Facility Water Management Program (WMP) (continued)

48f. If Yes, where and how frequently does your facility monitor water pH? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify):	N/A
Entry Points							
Cold Potable Water Storage Tank(s)							
Hot Potable Water Storage Tank(s)							
Hot Water Supply							
Hot Water Return							
Representative Locations Throughout Cold Potable Building Water System(s)							
Representative Locations Throughout Hot Potable Building Water System(s)							
Other (specify):							

Heterotrophic plate count (HPC) testing:

□ Yes □ No

48g. If Yes, does your facility have a plan for corrective actions when heterotrophic plate counts are not within acceptable limits as determined by the water management program? □ Yes □ No

48h. If Yes, where and how frequently does your facility perform HPC testing? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify):	N/A
Entry Points		_					_
Cold Potable Water Storage Tank(s)							
Hot Potable Water Storage Tank(s)							
Hot Water Supply							
Hot Water Return							
Representative Locations Throughout Cold Potable Building Water System(s)							
Representative Locations Throughout Hot Potable Building Water System(s)							
Other (specify):							

Specific environmental Legionella testing:

🗆 No

□ Yes

48i. If Yes, does your facility have a plan for corrective actions when environmental tests for *Legionella* are not within acceptable limits as determined by the water management program? □ Yes □ No

Facility Water Management Program (WMP) (continued)

48j. If Yes, where an how frequently does your facility perform *Legionella* testing? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify):	N/A
Entry Points							
Cold Potable Water Storage Tank(s)							
Hot Potable Water Storage Tank(s)							
Hot Water Supply							
Hot Water Return							
Representative Locations Throughout Cold Potable Building Water System(s)							
Representative Locations Throughout Hot Potable Building Water System(s)							
Other (specify):							

Specific environmental Pseudomonas testing:

□ Yes □ No

48k. If Yes, does your facility have a plan for corrective actions when environmental tests for *Pseudomonas* are not within acceptable limits as determined by the water management program?

48I. If Yes, where an how frequently does your facility perform *Pseudomonas* testing? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify):	N/A
Entry Points							
Cold Potable Water Storage Tank(s)							
Hot Potable Water Storage Tank(s)							
Hot Water Supply							
Hot Water Return							
Representative Locations Throughout Cold Potable Building Water System(s)							
Representative Locations Throughout Hot Potable Building Water System(s)							
Other (specify):							

*49.Does your facility water management program address measures to prevent transmission of pathogens from wastewater premise plumbing to patients?

□ Yes

🗆 No

 $\hfill\square$ N/A, my facility does not have a water management program



Venous Thromboembolism

- *50.Our facility uses the following venous thromboembolism (VTE) prevention practices (select all that apply, and select at least one)
 - □ Our facility has a VTE prevention policy.
 - □ Our facility has a multidisciplinary team that addresses VTE prevention.
 - Our facility has a facility-wide VTE prevention protocol that includes VTE and bleeding risk assessments linked to clinical decision support for appropriate VTE prophylaxis options.
 - Our facility has embedded the VTE prevention protocol in admission order sets.

🗆 Yes 🛛 No

- □ Our facility provides VTE prevention education for clinicians annually.
- □ Our facility provides VTE prevention education for patients during their stay at our facility.
- □ Our facility performs audits to determine whether patients are on risk-appropriate VTE prophylaxis and provides clinician feedback for quality improvement.
- Our facility tracks the incidence of VTE that develops during a patient's stay at our facility (VTE not present on admission).
- □ Our facility does not use any of the above VTE prevention practices.

Prevention Practices

*51.Our facility utilizes a checklist or bundle for prevention of the following HAIs. (Check all that apply)

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- □ Weekly
- □ Monthly
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other
- □ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

🗆 Yes 🗆 No 🗆 Unknown

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- Weekly
- □ Monthly
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other
- □ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

□ Yes

🗆 No

□ Unknown



- □ CDI LabID Event
 - At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one. □ Weekly
 - □ Monthly
 - □ Quarterly
 - □ Yearly
 - □ PRN
 - □ Other

 - □ Not regularly monitored/measured
 - Is checklist/bundle adherence shared routinely with the clinical team?
 - Yes

□ No

Unknown

Unknown

MRSA Bacteremia LabID Event

□ Yes

- At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.
 - □ Weekly
 - □ Monthly
 - □ Quarterly
 - □ Yearly
 - □ PRN
 - □ Other
 - □ Not regularly monitored/measured
- Is checklist/bundle adherence shared routinely with the clinical team?
 - □ No
- COLO SSI \square

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- □ Weekly
- □ Monthly
- □ Quarterly
- □ Yearly
- PRN
- □ Other
- □ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

🗆 Yes 🛛 No 🔅 Unknow

HYST SSI

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- □ Weekly
- □ Monthly
- □ Quarterly
- □ Yearly
- PRN
- □ Other
- Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

□ Yes Unknown

None of the above



*52. Did your facility (or any part of your facility) implement a new HAI prevention strategy within the last calendar year? *The following prevention strategies are examples from HAI prevention guidance documents (for example, 2022 SHEA/IDSA/APIC Practice Recommendations - Compendium of Strategies) and are supported by varying levels of evidence.

	Unknown
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If yes, check all HAIs that apply.

- □ CLABSI (check all that apply)
 - Documentation of daily assessment for central line necessity
 - Bundling of central line insertion supplies to ensure efficient access to supplies in convenient location for aseptic central line insertion
 - □ Use of chlorhexidine-containing dressings for central lines in patients >2 months of age
 - □ Use of antiseptic-containing caps/covers for central line ports
 - □ Use of antiseptic- or antimicrobial-impregnated central lines
 - Other (specify): _____
- □ CAUTI (check all that apply)
 - Documentation of daily assessment for indwelling urinary catheter necessity
 - □ Bundling of indwelling urinary catheter insertion supplies in convenient location to ensure efficient access to supplies for aseptic indwelling urinary catheter insertion
 - □ Implementation of a nurse-driven indwelling urinary catheter removal protocol or implementation of automatic stop orders requiring review of current indications and renewal of order for continuation of an indwelling urinary catheter
 - Process for consideration of bladder management alternatives to indwelling urethral catheterization in selected patients when appropriate
 - □ Incorporation of appropriate indications for urine culturing into electronic medical record system, as part of standardized institutional protocol for diagnostic stewardship
 - Other (specify): _____
- □ CDI LabID Event (check all that apply)
 - Use of an EPA-registered (EPA List K) sporicidal disinfectant for environmental cleaning/disinfection or use of additional disinfection of CDI patient rooms with no-touch technologies (for example, UV light disinfection)
 - □ Establish process in collaboration with environmental services to routinely assess adequacy of room cleaning
 - □ Restriction of antibiotics with the highest risk for CDI (for example, fluoroquinolones, carbapenems, 3rd and 4th generation cephalosporins)
 - □ Implementation of laboratory protocol to ensure testing of only appropriate specimens (for example, unformed stool) or a clinical decision support system to help reduce unnecessary *Clostridioides difficile* testing
 - □ Implementation of laboratory alert system to immediately report positive *C. difficile* results to clinical care providers and infection control personnel
 - Other (specify): _____



- □ MRSA Bacteremia LabID Event (check all that apply)
 - □ Process for monitoring and validation of compliance of daily CHG bathing in applicable patient populations (for example, adult ICU patients)
 - □ Process for multidisciplinary review of occurrences of hospital-onset MRSA bacteremia (for example, root cause analysis) to assess modifiable risk factors
 - □ Establish process in collaboration with environmental services to routinely assess adequacy of room cleaning
 - □ Implementation of a laboratory-based alert system that immediately notifies clinical care providers and infection control personnel of new MRSA-colonized and/or MRSA-infected patients
 - □ Implementation of universal gowns and gloves upon entry into adult ICU patient rooms, regardless of MRSA status
 - Other (specify): _____
- □ COLO SSI (check all that apply)
 - □ Use of combination of parenteral and oral antimicrobial prophylaxis with mechanical bowel prep, unless contraindicated, prior to elective colorectal surgery
 - □ Monitor compliance with antimicrobial prophylaxis guidelines being appropriately provided
 - □ Use of impervious plastic wound protectors for GI surgery
 - □ Implementation of preoperative warming for at least 30 minutes prior to surgery to prevent intraoperative hypothermia
 - □ Use of negative pressure dressings in patients who may benefit
 - □ Use of antiseptic-impregnated sutures
 - □ Other (specify): _____
- □ HYST SSI (check all that apply)
 - □ Use antiseptic-containing preoperative vaginal preparatory agents for patients undergoing elective hysterectomy
 - □ Monitor compliance with antimicrobial prophylaxis guidelines being appropriately provided
 - □ Implementation of preoperative warming for at least 30 minutes prior to surgery to prevent intraoperative hypothermia
 - □ Use of negative pressure dressings in patients who may benefit
 - □ Use of antiseptic-impregnated sutures
 - □ Other (specify):
- *53.Does your facility provide training and/or education on HAI prevention to healthcare personnel as it relates to their role?
 - □ Yes □ No □ Unknown

If yes, check all HAIs that apply.

At what frequency is training or education is provided? Check all that apply.

- □ Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- Other



- □ CAUTI
 - At what frequency is training or education is provided? Check all that apply.
 - Upon hire
 - □ When new product or processes are implemented
 - □ Quarterly
 - □ Yearly
 - □ PRN
 - □ Other

□ CDI LabID Event

At what frequency is training or education is provided? Check all that apply.

- □ Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- \Box Other
- MRSA Bacteremia LabID Event

At what frequency is training or education is provided? Check all that apply.

- □ Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other

□ COLO SSI

At what frequency is training or education is provided? Check all that apply.

- □ Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other

□ HYST SSI

At what frequency is training or education is provided? Check all that apply.

- Upon hire
- □ When new product or processes are implemented
- □ Quarterly
- □ Yearly
- □ PRN
- □ Other