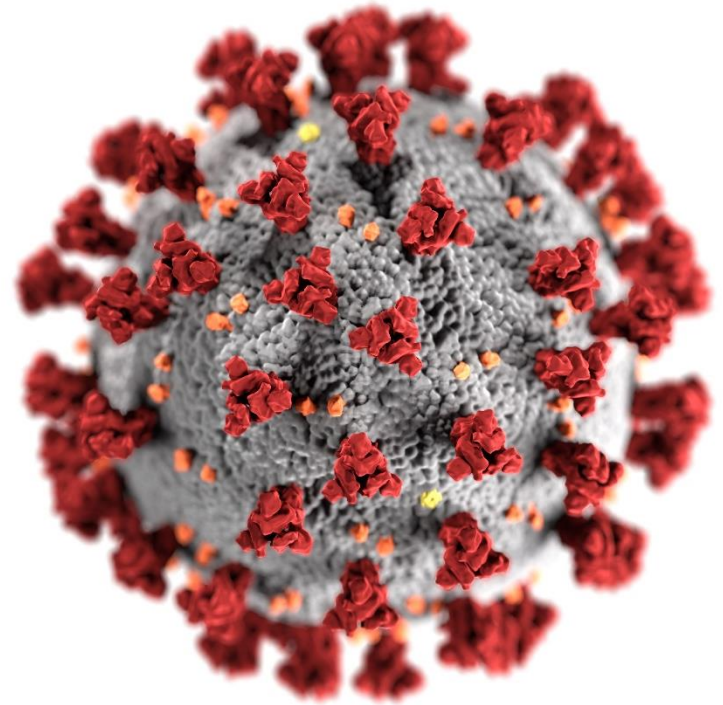


# Updates to Weekly COVID-19 Vaccination Data Reporting: Long-term Care Facilities

Division of Healthcare Quality Promotion  
Centers for Disease Control and Prevention (CDC) COVID-19

June 2023



[cdc.gov/coronavirus](https://cdc.gov/coronavirus)

# CMS Reporting Requirements for Long-term care facilities

- **May 11, 2023 - End of COVID-19 Public Health Emergency**
  - Long-term care facilities need to continue to report to the Healthcare Personnel and Resident Weekly LTCF **COVID-19 Vaccination Modules** of the LTCF component on a weekly basis
- **Reminder: Facilities can contact CMS with questions about reporting requirements and quality reporting:**
  - Long-term care facilities weekly reporting requirement questions: [DNH\\_TriageTeam@cms.hhs.gov](mailto:DNH_TriageTeam@cms.hhs.gov)
  - Skilled nursing facilities quality reporting program questions: [SNFQualityQuestions@cms.hhs.gov](mailto:SNFQualityQuestions@cms.hhs.gov)



# Objectives

## ■ Simplification of the forms!

- Highlight changes to the weekly COVID-19 vaccination reporting forms for LTCF Residents, and Healthcare Personnel

## ■ Review recent changes and updates:

- Review form changes
- Review up to date definition changes
- Review example scenarios
- Discuss frequently asked questions

Note: Form changes will be implemented Quarter 3, June 26, 2023

**Note: These slides will be posted to the NHSN COVID-19 Vaccination website**



# Background for Form Changes

- In April of 2023 [Food and Drug Administration \(FDA\)](#) and the [CDC](#) announced changes to its Emergency Use Authorization for COVID-19 vaccines.
- Beginning on **June 26, 2023** (Q3 2023), residents and healthcare personnel are considered up to date with their COVID-19 vaccinations if they have **received an updated (bivalent) vaccine(s)**.



# Form Simplification

## Up to Date definition change as of June 26, 2023



# Changes to Weekly COVID-19 Vaccination: Resident Form

Question	LTCF Resident Form
<b>Question 1:</b> Number of residents staying in this facility for at least 1 day during the week of data collection	No change
<b>Question 2.1:</b> Only 1 dose of a two-dose primary COVID-19 vaccine series	Remove
<b>Question 2.2:</b> Any completed primary COVID-19 vaccine series	Remove
<b>Question 3.1:</b> Medical contraindication to COVID-19 vaccine	No change (new variable/definition)
<b>Question 3.2:</b> Offered but declined COVID-19 vaccine	No change (new variable/definition)
<b>Question 3.3:</b> Unknown COVID-19 vaccination status	No change (new variable/definition)
<b>Question 4 Cumulative</b> number of residents with complete primary series vaccine in Question #2 who have received <b>any booster(s) or additional dose(s)</b> of COVID-19 vaccine since August 2021	Remove
<b>Question 4.1</b> *Cumulative number of residents in Question #4 who have received only one booster dose of COVID-19 vaccine since August 2021	Remove
<b>Question 4.2</b> 4.2 Cumulative number of residents in Question #4 who received two or more booster doses of COVID-19 vaccine, and the most recent dose was received since March 29, <u>2022</u>	Remove
<b>Question 5:</b> Individuals in question #2 who are up to date with COVID-19 vaccines	Move & Revise (Will be # Q2)



# Current Weekly COVID-19 Vaccination: Resident Form

## Removing

- Primary vaccine series and booster dose questions on question 2 and 4

## Keeping

- Question 1
- Question 3 – 3.3 (modified definition)
- Question 5
- (moving to question 2)

Primary Vaccine Series	1. *Number of residents staying in this facility for at least 1 day during the week of data collection	
	2. * <del>Cumulative</del> number of residents in Question #1 who have received <b>primary</b> series COVID-19 vaccine in this facility or elsewhere since December 2020:	
	2.1. * <del>Number</del> of residents who have received <b>one</b> dose of a two-dose <b>Primary</b> COVID-19 vaccine series	
	2.2. *Any completed <b>Primary</b> COVID-19 vaccine series	
	3. *Cumulative number of residents in Question #1 with other conditions:	
	3.1. *Medical contraindication to COVID-19 vaccine	
	3.2. *Offered but declined COVID-19 vaccine	
	3.3. *Unknown COVID-19 vaccination status	
Boosters	4. *Cumulative number of residents with complete primary series vaccine in Question #2 who have received <b>any booster(s) or additional dose(s)</b> of COVID-19 vaccine since August 2021	
	4.1. *Cumulative number of residents in Question #4 who have received <b>only one</b> booster dose of COVID-19 vaccine since August 2021	
	4.2. *Cumulative number of residents in Question #4 who received <b>two or more</b> booster doses of COVID-19 vaccine, and the most recent dose was received since March 29, 2022	
Up to Date	Question 5 asks about individuals who are up to date. Please review the current definition of up to date: <a href="https://www.cdc.gov/nhsn/pdfs/hps/covidvax/UpToDateGuidance-May2022-508.pdf">https://www.cdc.gov/nhsn/pdfs/hps/covidvax/UpToDateGuidance-May2022-508.pdf</a>	
	5. *Cumulative number of residents in question #2 who are <u>up to date</u> with COVID-19 vaccines	



# Weekly COVID-19 Vaccination: Revised Resident Form

**New Version:** After removing Primary Series, and Boosters

1. *Number of residents staying in this facility for at least 1 day during the week of data collection	
2. *Cumulative number of residents in Question #1 who are <b>up to date</b> with COVID-19 vaccines. <b>Please review the current definition of up to date:</b> <a href="#">Key Terms and Up to Date Vaccination</a>	
3. *Cumulative number of residents in Question #1 with other conditions:	
3.1. *Medical contraindication to COVID-19 vaccine	
3.2. *Offered but declined COVID-19 vaccine	
3.3. *Unknown/other COVID-19 vaccination status	





# Weekly COVID-19 Vaccination: Revised Resident Form (Cont.)

- Question 3.1 \*Medical contraindication to COVID-19 vaccine
- Question 3.2 \*Offered but declined COVID-19 vaccine
- Question 3.3 \*Unknown/other COVID-19 vaccination status



# Changes to Weekly COVID-19 Vaccination: HCP Form

Question	HCP Form
<b>Question 1:</b> Individuals eligible to work at the facility	No change
<b>Question 2.1:</b> Only 1 dose of a two-dose primary COVID-19 vaccine series 2.2	Remove
<b>Question 2.2:</b> Any completed primary COVID-19 vaccine series	No change
<b>Question 3.1:</b> Medical contraindication to COVID-19 vaccine	No change
<b>Question 3.2:</b> Offered but declined COVID-19 vaccine	No change
<b>Question 3.3:</b> Unknown COVID-19 vaccination status	No change
<b>Question 4:</b> Individuals with a complete primary series vaccine who have received any booster(s) or additional dose(s) of COVID-19 vaccine since August 2021	Remove
<b>Question 5:</b> Individuals in question #2 who are up to date with COVID-19 vaccines	No change



# Current Weekly COVID-19 Vaccination: HCP Form

## Removing

- Questions 2.1, 2.2 and 5

## Moving

- Up to date from question 5 to question 4

## Keeping

- Questions 1, 2, and 3 - 3.3

## Updated Foot notes

	1. *Number of HCP that were eligible to have worked at this healthcare facility for at least 1 day during the week of data collection						
Primary Vaccine Series	2. <b>Cumulative</b> number of HCP in Question #1 who have received <b>primary</b> series COVID-19 vaccine(s) at this facility or elsewhere since December 2020:						
	2.1. *Number of a two-dose primary COVID-19 vaccine series						
	2.2. *Any completed <b>Primary</b> COVID-19 vaccine series						
	3. <b>Cumulative</b> number of HCP in Question #1 with other conditions:						
	3.1. *Medical contraindication to COVID-19 vaccine						
	3.2. *Offered but declined COVID-19 vaccine						
	3.3. *Unknown COVID-19 vaccination status						
Boosters	4. <b>Cumulative</b> number of HCP with complete primary series vaccine in Question #2 who have received any booster (s) or additional dose(s) of COVID-19 vaccine since August 2021						
Up to Date	Question 5 asks about individuals who are up to date. Please review the current definition of up to date: <a href="https://www.cdc.gov/nhsn/pdfs/hps/covidvax/UpToDateGuidance-May2022-508.pdf">https://www.cdc.gov/nhsn/pdfs/hps/covidvax/UpToDateGuidance-May2022-508.pdf</a>						
	5. * <b>Cumulative</b> number of HCP in question #2 who are <b>up to date</b> with COVID-19 vaccines						



# Weekly COVID-19 Vaccination: Revised HCP Form

**New Version:**  
with up to date  
changes and  
reduction of  
questions

Cumulative Vaccination Coverage						
	Healthcare Personnel (HCP) Categories					
	All Core HCP <sup>a</sup>	All HCP <sup>b</sup>	Employee HCP	Non-Employee HCP		
			*Employees (staff on facility payroll) <sup>c</sup>	*Licensed independent practitioners: Physicians, advanced practice nurses, & physician assistants <sup>d</sup>	*Adult students/trainees & volunteers <sup>e</sup>	*Other Contract Personnel <sup>f</sup>
1. *Number of HCP that were eligible to have worked at this healthcare facility for at least 1 day during the week of data collection						
2. *Cumulative number of HCP in Question #1 who have received <u>complete primary series</u> <sup>g</sup> COVID-19 vaccine(s) at this facility or elsewhere since December 2020						
3. *Cumulative number of HCP in Question #1 with other conditions:						
3.1. *Medical contraindication to COVID-19 vaccine						
3.2. *Offered but declined COVID-19 vaccine						
3.3. *Unknown/other COVID-19 vaccination status						
4. *Cumulative number of HCP in question #1 who are <u>up to date</u> with COVID-19 vaccines.						
Please review the current definition of up to date: <a href="#">Key Terms and Up to Date Vaccination</a>						



# Weekly COVID-19 Vaccination: Revised HCP Form Updated primary series definition

- a. Sum of Employees (staff on facility payroll), Licensed independent practitioners: Physicians, advanced practice nurses & physician assistants, and Adult students/trainees & volunteers.
- b. Sum of Employees (staff on facility payroll), Licensed independent practitioners: Physicians, advanced practice nurses & physician assistants, Adult students/trainees & volunteers, and Other contract personnel.
- c. All persons receiving a direct paycheck from the healthcare facility (i.e., on the facility's payroll), regardless of clinical responsibility or patient contact.
- d. Physicians (MD, DO); advanced practice nurses; and physician assistants only who are affiliated with the healthcare facility, but are not directly employed by it (i.e., they do not receive a paycheck from the facility), regardless of clinical responsibility or patient contact. Post-residency fellows are also included in this category.
- e. Adult students/trainees and volunteers: medical, nursing, or other health professional students, interns, medical residents, or volunteers aged 18 or older that are affiliated with the healthcare facility, but are not directly employed by it (i.e., they do not receive a paycheck from the facility), regardless of clinical responsibility or patient contact.
- f. Persons providing care, treatment, or services at the facility through a contract who do not fall into any other HCP (denominator) categories.
- g. Complete primary series as of June 26, 2023 is defined as receiving a 2-dose series of a monovalent COVID-19 vaccine OR a single dose of Janssen OR a single dose of bivalent vaccine.



# Up to Date Definition Change (Quarter 3 - beginning June 26, 2023)



# Definition for Up to Date COVID-19 Vaccination Status

- In April of 2023 [Food and Drug Administration \(FDA\)](#) and the [CDC](#) announced changes to its Emergency Use Authorization for COVID-19 vaccines.
- Beginning on **June 26, 2023** (Q3 2023), residents and healthcare personnel are considered up to date with their COVID-19 vaccinations if they have **received an updated (bivalent) vaccine(s)**.
- An individual is considered up to date once they received 1 updated bivalent Pfizer-BioNTech or bivalent Moderna COVID-19 vaccine. This up to date definition is the same for all individuals, regardless of age and immunocompromised status.



# Weekly COVID-19 Vaccination Form: Up to Date

- **Resident Form**: Question 2 pertains to the Up to Date definition

<p>2. *Cumulative number of residents in Question #1 who are <b>up to date</b> with COVID-19 vaccines.</p> <p>Please review the current definition of up to date: <a href="#">Key Terms and Up to Date Vaccination</a></p>	
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- **HCP Form**: Question 4 pertains to the Up to Date definition

<p>4.*Cumulative number of HCP in question #1 who are <b>up to date</b> with COVID-19 vaccines.</p> <p>Please review the current definition of up to date: <a href="#">Key Terms and Up to Date Vaccination</a></p>						
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**Note:** Please refer to the CDC NHSN guidance document that will be posted to the NHSN website with the current definition of up-to-date vaccination. Users should review this document at least once per quarter to check for definition updates. [UpTo Date Guidance Quarter 2 Of 2023 mockup\\_508 \(cdc.gov\)](#)





# Scenarios

These scenarios apply to the Up to Date definition change (beginning Quarter 3 - June 26, 2023)



# Weekly COVID-19 Vaccination: Revised Resident Form

**New Version:** After removing Primary Series, and Boosters

1. *Number of residents staying in this facility for at least 1 day during the week of data collection	
2. *Cumulative number of residents in Question #1 who are <b>up to date</b> with COVID-19 vaccines.  <b>Please review the current definition of up to date:</b> <a href="#">Key Terms and Up to Date Vaccination</a>	
3. *Cumulative number of residents in Question #1 with other conditions:	
3.1. *Medical contraindication to COVID-19 vaccine	
3.2. *Offered but declined COVID-19 vaccine	
3.3. *Unknown/other COVID-19 vaccination status	



# Is this individual considered up to date with COVID-19 vaccines for Quarter 3, 2023?

Mary (40 years of age) is a nursing home **resident** who completed her primary COVID-19 vaccine series in May 2021, an original monovalent booster in October 2021, and an updated (bivalent) dose on November 3, 2022.

## Answer:

**Yes.** Mary is considered up to date **for weeks during quarter 3 of 2023** since she received an updated (bivalent) vaccine.

## Where to document?

Question 2. \*Cumulative number of residents in Question #1 who are **up to date** with COVID-19 vaccines.



# Is this individual considered up to date with COVID-19 vaccines for Quarter 3, 2023? (Cont.)

Tom, a nursing home resident, completed his primary vaccine series on February 1, 2021 and received an original (monovalent) booster dose October 2021 but declined to receive an updated (bivalent) dose.

## Answer:

**No.** Tom is not considered up to date with COVID-19 vaccines for weeks during quarter 3 of 2023 since he did not receive the most recent recommended updated bivalent dose.

## Where to document?

3.2. \*Offered but declined COVID-19 vaccine



# Weekly COVID-19 Vaccination: Revised HCP Form

**New Version:**  
with up to date  
changes and  
reduction of  
questions

Cumulative Vaccination Coverage						
	Healthcare Personnel (HCP) Categories					
	All Core HCP <sup>a</sup>	All HCP <sup>b</sup>	Employee HCP	Non-Employee HCP		
			*Employees (staff on facility payroll) <sup>c</sup>	*Licensed independent practitioners: Physicians, advanced practice nurses, & physician assistants <sup>d</sup>	*Adult students/trainees & volunteers <sup>e</sup>	*Other Contract Personnel <sup>f</sup>
1. *Number of HCP that were eligible to have worked at this healthcare facility for at least 1 day during the week of data collection						
2. *Cumulative number of HCP in Question #1 who have received <u>complete primary series</u> <sup>g</sup> COVID-19 vaccine(s) at this facility or elsewhere since December 2020						
3. *Cumulative number of HCP in Question #1 with other conditions:						
3.1. *Medical contraindication to COVID-19 vaccine						
3.2. *Offered but declined COVID-19 vaccine						
3.3. *Unknown/other COVID-19 vaccination status						
4. *Cumulative number of HCP in question #1 who are <u>up to date</u> with COVID-19 vaccines.  Please review the current definition of up to date: <a href="#">Key Terms and Up to Date Vaccination</a>						



# Is this individual considered up to date with COVID-19 vaccines for Quarter 3, 2023 ? (Cont.)

**Healthcare worker** Marc completed his primary vaccine series on January 18, 2021 and received an additional dose in June 2021 and an updated (bivalent) dose in October 2022.

**Answer:**

**Yes.** He is considered up to date with COVID-19 vaccines **for weeks during quarter 3 of 2023** since he has received the most recent recommended updated bivalent dose.

**Where to document?**

2. \*Cumulative number of HCP in Question #1 who have received **complete primary series**<sup>g</sup> COVID-19 vaccine(s) at this facility or elsewhere since December 2020

4.\*Cumulative number of HCP in question #1 who are **up to date** with COVID-19 vaccines.



# Is this individual considered up to date with COVID-19 vaccines for Quarter 3, 2023? (Cont.)

**Healthcare worker** Jessica did not complete her primary vaccine series but chose to receive an updated (bivalent) dose in May of 2023.

**Answer:**

**Yes.** She is considered up to date with COVID-19 vaccines for weeks during quarter 3 of 2023 since she has received the most recent recommended updated bivalent dose.

**Where to document?**

- 2.\*Cumulative number of HCP in Question #1 who have received complete primary series COVID-19 vaccine(s) at this facility or elsewhere since December 2020
- 4.\*Cumulative number of HCP in question #1 who are up to date with COVID-19 vaccines.



# Is this individual considered up to date with COVID-19 vaccines for Quarter 3, 2023? (Cont.)

**Healthcare worker** Harry received one dose of the primary vaccine in February of 2021 and then received an updated (bivalent) dose in June 2023.

**Answer:**

**Yes.** He is considered up to date with COVID-19 vaccines **for weeks during quarter 3 of 2023** since he has received the most recent recommended updated bivalent dose.

**Where to document?**

2.\*Cumulative number of HCP in Question #1 who have received complete primary series COVID-19 vaccine(s) at this facility or elsewhere since December 2020

4.\*Cumulative number of HCP in question #1 who are **up to date** with COVID-19 vaccines.





# Frequently Asked Questions



# Question #1

## When do new the form changes take effect?

- Beginning on June 26, 2023 (Q3 2023), the new weekly summary form changes will take effect
- At this time, please use the new [up to date](#) definition when reporting COVID-19 vaccination data through NHSN



## Question #2

**With the PHE ending, do long-term care facilities need to continue reporting COVID-19 vaccination data? If so, how often?**

- Yes. As mentioned earlier, weekly reporting of COVID-19 vaccination data for HCP and residents through the LTCF COVID-19 Vaccination Modules will remain required for CMS-certified facilities following the ending of the PHE on May 11, 2023.
- Please note that COVID-19 vaccination data should continue to be reported weekly for residents and HCP.



## Question #3

**Is a 66-year-old who has received a recommended bivalent dose in September of 2022, but no other bivalent doses, considered up to date?**

- Yes, this individual is considered up to date

**Note:** Individuals who are 65 years of age and older or immunocompromised are eligible to receive additional COVID-19 vaccine doses because of the clinical benefit but it is not mandatory. Whether or not the individual receives additional updated bivalent doses, they are still up to date after receiving a single (updated) bivalent dose.



## Question #4

**Where do I document a Healthcare Worker who only received partial primary series (a single dose of original monovalent Moderna or Pfizer)?**

- On the simplified HCP form, this Health Care Worker would be documented in Question #3.3: Unknown/other COVID-19 vaccination status
- Reminder: partial primary series (formerly question #2.2) was removed from the HCP form



# Summary of Updates and Changes

- **Simplification of the forms!**
  - Highlighted changes to the weekly COVID-19 vaccination reporting forms for LTCF residents, and Healthcare Personnel
- Reviewed recent changes and updates:
  - Up to date definition change for both residents and HCP
  - Example scenarios
  - Discussed frequently asked questions



# Resources



# Resource: The NHSN Website

- [Weekly HCP & Resident COVID-19 Vaccination | LTCF | NHSN | CDC](#)
  - Training Slides
  - Quick Reference Guides
  - FAQs
  - Data Collection Forms
  - .CSV
  - Person – Level Forms

## Weekly HCP & Resident COVID-19 Vaccination

[Print](#)

Long-term care facilities can track weekly COVID-19 vaccination data for residents and healthcare personnel (HCP) through NHSN.

### On This Page

[Protocol](#)

[Person-Level COVID-19 Vaccination Forms - Instructions and Guidance Documents](#)

[Training](#)

[Data Collection Forms and Instructions](#)

[Person-Level COVID-19 Vaccination Data - CSV Data Import](#)

[Weekly COVID-19 Vaccination Summary Data - CSV Data Import](#)

[Resources](#)

[Retired Quick Reference Guides](#)

[Nursing Home COVID-19 Vaccination Data Dashboard](#)

[FAQs on Reporting COVID-19 Vaccination Data](#)





# Questions or Need Help?

E-mail user support at: [NHSN@cdc.gov](mailto:NHSN@cdc.gov)

Subject Line: Please write '*Weekly COVID-19 Vaccination*' along with your facility type for a faster reply

**For more information, please contact Centers for Disease Control and Prevention**

1600 Clifton Road NE, Atlanta, GA 30333

Telephone, 1-800-CDC-INFO (232-4636) / TTY: 1-888-232-6348

E-mail: [cdcinfo@cdc.gov](mailto:cdcinfo@cdc.gov) Web: [www.cdc.gov](http://www.cdc.gov)

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

